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## RSVP-TE Extensions in Support of End-to-End Generalized Multi-Protocol Label Switching (GMPLS) Recovery

### Status of This Memo

This document specifies an Internet standards track protocol for the Internet community, and requests discussion and suggestions for improvements. Please refer to the current edition of the "Internet Official Protocol Standards" (STD 1) for the standardization state and status of this protocol. Distribution of this memo is unlimited.

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### Abstract

This document describes protocol-specific procedures and extensions for Generalized Multi-Protocol Label Switching (GMPLS) Resource ReSerVation Protocol - Traffic Engineering (RSVP-TE) signaling to support end-to-end Label Switched Path (LSP) recovery that denotes protection and restoration. A generic functional description of GMPLS recovery can be found in a companion document, RFC 4426.

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## 1. Introduction

Generalized Multi-Protocol Label Switching (GMPLS) extends MPLS to include support for Layer-2 Switch Capable (L2SC), Time-Division Multiplex (TDM), Lambda Switch Capable (LSC), and Fiber Switch Capable (FSC) interfaces. GMPLS recovery uses control plane mechanisms (i.e., signaling, routing, and link management mechanisms) to support data plane fault recovery. Note that the analogous (data plane) fault detection mechanisms are required to be present in support of the control plane mechanisms. In this document, the term "recovery" is generically used to denote both protection and restoration; the specific terms "protection" and "restoration" are only used when differentiation is required. The subtle distinction between protection and restoration is made based on the resource allocation done during the recovery phase (see [RFC4427]).

A functional description of GMPLS recovery is provided in [RFC4426] and should be considered as a companion document. The present document describes the protocol-specific procedures for GMPLS RSVP-TE (Resource ReSerVation Protocol - Traffic Engineering) signaling (see [RFC3473]) to support end-to-end recovery. End-to-end recovery refers to the recovery of an entire LSP from its head-end (ingress node endpoint) to its tail-end (egress node endpoint). With end-to-end recovery, working LSPs are assumed to be resource-disjoint (where a resource is a link, node, or Shared Risk Link Group (SRLG)) in the network so that they do not share any failure probability, but this is not mandatory. With respect to a given set of network resources, a pair of working/protecting LSPs SHOULD be resource disjoint in case of dedicated recovery type (see below). On the other hand, in case of shared recovery (see below), a group of working LSPs SHOULD be mutually resource-disjoint in order to allow for a (single and commonly) shared protecting LSP, itself resource-disjoint from each of the working LSPs. Note that resource disjointness is a necessary (but not sufficient) condition to ensure LSP recoverability.

The present document addresses four types of end-to-end LSP recovery: 1) 1+1 (unidirectional/bidirectional) protection, 2) 1:N ( $N \geq 1$ ) LSP protection with extra-traffic, 3) pre-planned LSP rerouting without extra-traffic (including shared mesh), and 4) full LSP rerouting.

- 1) The simplest notion of end-to-end LSP protection is 1+1 unidirectional protection. Using this type of protection, a protecting LSP is signaled over a dedicated resource-disjoint alternate path to protect an associated working LSP. Normal traffic is simultaneously sent on both LSPs and a selector is used at the egress node to receive traffic from one of the LSPs. If a failure occurs along one of the LSPs, the egress node selects the

traffic from the valid LSP. No coordination is required between the end nodes when a failure/switchover occurs.

In 1+1 bidirectional protection, a protecting LSP is signaled over a dedicated resource-disjoint alternate path to protect the working LSP. Normal traffic is simultaneously sent on both LSPs (in both directions), and a selector is used at both ingress/egress nodes to receive traffic from the same LSP. This requires coordination between the end-nodes when switching to the protecting LSP.

- 2) In 1:N ( $N \geq 1$ ) protection with extra-traffic, the protecting LSP is a fully provisioned and resource-disjoint LSP from the N working LSPs, that allows for carrying extra-traffic. The N working LSPs MAY be mutually resource-disjoint. Coordination between end-nodes is required when switching from one of the working LSPs to the protecting LSP. As the protecting LSP is fully provisioned, default operations during protection switching are specified for a protecting LSP carrying extra-traffic, but this is not mandatory. Note that M:N protection is out of scope of this document (though mechanisms it defines may be extended to cover it).
- 3) Pre-planned LSP rerouting (or restoration) relies on the establishment between the same pair of end-nodes of a working LSP and a protecting LSP that is link/node/SRLG disjoint from the working one. Here, the recovery resources for the protecting LSP are pre-reserved but explicit action is required to activate (i.e., commit resource allocation at the data plane) a specific protecting LSP instantiated during the (pre-)provisioning phase. Since the protecting LSP is not "active" (i.e., fully instantiated), it cannot carry any extra-traffic. This does not mean that the corresponding resources cannot be used by other LSPs. Therefore, this mechanism protects against working LSP(s) failure(s) but requires activation of the protecting LSP after working LSP failure occurrence. This requires restoration signaling along the protecting path. "Shared-mesh" restoration can be seen as a particular case of pre-planned LSP rerouting that reduces the recovery resource requirements by allowing multiple protecting LSPs to share common link and node resources. The recovery resources are pre-reserved but explicit action is required to activate (i.e., commit resource allocation at the data plane) a specific protecting LSP instantiated during the (pre-)provisioning phase. This procedure requires restoration signaling along the protecting path.

Note that in both cases, bandwidth pre-reserved for a protecting (but not activated) LSP can be made available for carrying extra traffic. LSPs for extra-traffic (with lower holding priority than the protecting LSP) can then be established using the bandwidth pre-reserved for the protecting LSP. Also, any lower priority LSP that use the pre-reserved resources for the protecting LSP(s) must be preempted during the activation of the protecting LSP.

- 4) Full LSP rerouting (or restoration) switches normal traffic to an alternate LSP that is not even partially established until after the working LSP failure occurs. The new alternate route is selected at the LSP head-end node, it may reuse resources of the failed LSP at intermediate nodes and may include additional intermediate nodes and/or links.

Crankback signaling (see [CRANK]) and LSP segment recovery (see [RFC4873]) are further detailed in dedicated companion documents.

## 2. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

In addition, the reader is assumed to be familiar with the terminology used in [RFC3945], [RFC3471], [RFC3473] and referenced as well as in [RFC4427] and [RFC4426].

## 3. Relationship to Fast Reroute (FRR)

There is no impact to RSVP-TE Fast Reroute (FRR) [RFC4090] introduced by end-to-end GMPLS recovery i.e., it is possible to use either method defined in FRR with end-to-end GMPLS recovery.

The objects used and/or newly introduced by end-to-end recovery will be ignored by [RFC4090] conformant implementations, and FRR can operate on a per LSP basis as defined in [RFC4090].

## 4. Definitions

### 4.1. LSP Identification

This section reviews terms previously defined in [RFC2205], [RFC3209], and [RFC3473]. LSP tunnels are identified by a combination of the SESSION and SENDER\_TEMPLATE objects (see also [RFC3209]). The relevant fields are as follows:

IPv4 (or IPv6) tunnel endpoint address

IPv4 (or IPv6) address of the egress node for the tunnel.

Tunnel ID

A 16-bit identifier used in the SESSION that remains constant over the life of the tunnel.

Extended Tunnel ID

A 32-bit (or 16-byte) identifier used in the SESSION that remains constant over the life of the tunnel. Normally set to all zeros. Ingress nodes that wish to narrow the scope of a SESSION to the ingress-egress pair MAY place their IPv4 (or IPv6) address here as a globally unique identifier.

IPv4 (or IPv6) tunnel sender address

IPv4 (or IPv6) address for a sender node.

LSP ID

A 16-bit identifier used in the SENDER\_TEMPLATE and FILTER\_SPEC that can be changed to allow a sender to share resources with itself.

The first three fields are carried in the SESSION object (Path and Resv message) and constitute the basic identification of the LSP tunnel.

The last two fields are carried in the SENDER\_TEMPLATE (Path message) and FILTER\_SPEC objects (Resv message). The LSP ID is used to differentiate LSPs that belong to the same LSP Tunnel (as identified by its Tunnel ID).

## 4.2. Recovery Attributes

The recovery attributes include all the parameters that determine the status of an LSP within the recovery scheme to which it is associated. These attributes are part of the PROTECTION object introduced in Section 14.

### 4.2.1. LSP Status

The following bits are used in determining resource allocation and status of the LSP within the group of LSPs forming the protected entity:

- S (Secondary) bit: enables distinction between primary and secondary LSPs. A primary LSP is a fully established LSP for which the resource allocation has been committed at the data plane (i.e., full cross-connection has been performed). Both working and protecting LSPs can be primary LSPs. A secondary LSP is an LSP that has been provisioned in the control plane only, and for which resource selection MAY have been done but for which the resource allocation has not been committed at the data plane (for instance, no cross-connection has been performed). Therefore, a secondary LSP is not immediately available to carry any traffic (thus requiring additional signaling to be available). A secondary LSP can only be a protecting LSP. The (data plane) resources allocated for a secondary LSP MAY be used by other LSPs until the primary LSP fails over to the secondary LSP.
- P (Protecting) bit: enables distinction between working and protecting LSPs. A working LSP must be a primary LSP whilst a protecting LSP can be either a primary or a secondary LSP. When protecting LSP(s) are associated with working LSP(s), one also refers to the latter as protected LSPs.

Note: The combination "secondary working" is not valid (only protecting LSPs can be secondary LSPs). Working LSPs are always primary LSPs (i.e., fully established) whilst primary LSPs can be either working or protecting LSPs.

- O (Operational) bit: this bit is set when a protecting LSP is carrying the normal traffic after protection switching (i.e., applies only in case of dedicated LSP protection or LSP protection with extra-traffic; see Section 4.2.2).

In this document, the PROTECTION object uses as a basis the PROTECTION object defined in [RFC3471] and [RFC3473] and defines additional fields within it. The fields defined in [RFC3471] and [RFC3473] are unchanged by this document.

#### 4.2.2. LSP Recovery

The following classification is used to distinguish the LSP Protection Type with which LSPs can be associated at end-nodes (a distinct value is associated with each Protection Type in the PROTECTION object; see Section 14):

- Full LSP Rerouting: set if a primary working LSP is dynamically recoverable using (non pre-planned) head-end rerouting.
- Pre-planned LSP Rerouting without Extra-traffic: set if a protecting LSP is a secondary LSP that allows sharing of the pre-reserved recovery resources between one or more than one <sender;receiver> pair. When the secondary LSPs resources are not pre-reserved for a single <sender;receiver> pair, this type is referred to as "shared mesh" recovery.
- LSP Protection with Extra-traffic: set if a protecting LSP is a dedicated primary LSP that allows for extra-traffic transport and thus precludes any sharing of the recovery resources between more than one <sender;receiver> pair. This type includes 1:N LSP protection with extra-traffic.
- Dedicated LSP Protection: set if a protecting LSP does not allow sharing of the recovery resources nor the transport of extra-traffic (implying in the present context, duplication of the signal over both working and protecting LSPs as in 1+1 dedicated protection). Note also that this document makes a distinction between 1+1 unidirectional and bidirectional dedicated LSP protection.

For LSP protection, in particular, when the data plane provides automated protection-switching capability (see for instance ITU-T [G.841] Recommendation), a Notification (N) bit is defined in the PROTECTION object. It allows for distinction between protection switching signaling via the control plane or the data plane.

Note: this document assumes that Protection Type values have end-to-end significance and that the same value is sent over the protected and the protecting path. In this context, shared-mesh (for instance) appears from the end-nodes perspective as being simply an LSP rerouting without extra-traffic services. The net result of this is that a single bit (the S bit alone) does not allow determining whether resource allocation should be performed with respect to the status of the LSP within the protected entity. The introduction of the P bit solves this problem unambiguously. These bits MUST be processed on a hop-by-hop basis (independently of the LSP Protection Type context). This allows for an easier implementation of reversion



signaling (see Section 12) but also facilitates the transparent delivery of protected services since any intermediate node is not required to know the semantics associated with the incoming LSP Protection Type value.

#### 4.3. LSP Association

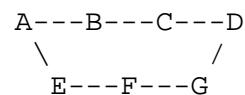
The ASSOCIATION object, introduced in Section 16, is used to associate the working and protecting LSPs.

When used for signaling the working LSP, the Association ID of the ASSOCIATION object (see Section 16) identifies the protecting LSP. When used for signaling the protecting LSP, this field identifies the LSP protected by the protecting LSP.

#### 5. 1+1 Unidirectional Protection

One of the simplest notions of end-to-end LSP protection is 1+1 unidirectional protection.

Consider the following network topology:



The paths [A,B,C,D] and [A,E,F,G,D] are node and link disjoint, ignoring the ingress/egress nodes A and D. A 1+1 protected path is established from A to D over [A,B,C,D] and [A,E,F,G,D], and traffic is transmitted simultaneously over both component paths (i.e., LSPs).

During the provisioning phase, both LSPs are fully instantiated (and thus activated) so that no resource sharing can be done along the protecting LSP (nor can any extra-traffic be transported). It is also RECOMMENDED to set the N bit since no protection-switching signaling is assumed in this case.

When a failure occurs (say, at node B) and is detected at end-node D, the receiver at D selects the normal traffic from the other LSP. From this perspective, 1+1 unidirectional protection can be seen as an uncoordinated protection-switching mechanism acting independently at both endpoints. Also, for the LSP under failure condition, it is RECOMMENDED to not set the Path\_State\_Removed Flag of the ERROR\_SPEC object (see [RFC3473]) upon PathErr message generation.

Note: it is necessary that both paths are SRLG disjoint to ensure recoverability; otherwise, a single failure may impact both working and protecting LSPs.

### 5.1. Identifiers

To simplify association operations, both LSPs belong to the same session. Thus, the SESSION object MUST be the same for both LSPs. The LSP ID, however, MUST be different to distinguish between the two LSPs.

A new PROTECTION object (see Section 14) is included in the Path message. This object carries the desired end-to-end LSP Protection Type -- in this case, "1+1 Unidirectional". This LSP Protection Type value is applicable to both uni- and bidirectional LSPs.

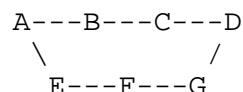
To allow distinguishing the working LSP (from which the signal is taken) from the protecting LSP, the working LSP is signaled by setting in the PROTECTION object the S bit to 0, the P bit to 0, and in the ASSOCIATION object, the Association ID to the protecting LSP\_ID. The protecting LSP is signaled by setting in the PROTECTION object the S bit to 0, the P bit to 1, and in the ASSOCIATION object, the Association ID to the associated protected LSP\_ID.

After protection switching completes, and after reception of the PathErr message, to keep track of the LSP from which the signal is taken, the protecting LSP SHOULD be signaled with the O bit set. The formerly working LSP MAY be signaled with the A bit set in the ADMIN\_STATUS object (see [RFC3473]). This process assumes the tail-end node has notified the head-end node that traffic selection switchover has occurred.

### 6. 1+1 Bidirectional Protection

1+1 bidirectional protection is a scheme that provides end-to-end protection for bidirectional LSPs.

Consider the following network topology:



The LSPs [A,B,C,D] and [A,E,F,G,D] are node and link disjoint, ignoring the ingress/egress nodes A and D. A bidirectional LSP is established from A to D over each path, and traffic is transmitted simultaneously over both LSPs. In this scheme, both endpoints must receive traffic over the same LSP. Note also that both LSPs are fully instantiated (and thus activated) so that no resource sharing can be done along the protection path (nor can any extra-traffic be transported).

When a failure is detected by one or both endpoints of the LSP, both endpoints must select traffic from the other LSP. This action must be coordinated between node A and D. From this perspective, 1+1 bidirectional protection can be seen as a coordinated protection-switching mechanism between both endpoints.

Note: it is necessary that both paths are SRLG disjoint to ensure recoverability; otherwise, a single failure may impact both working and protecting LSPs.

### 6.1. Identifiers

To simplify association operations, both LSPs belong to the same session. Thus, the SESSION object MUST be the same for both LSPs. The LSP ID, however, MUST be different to distinguish between the two LSPs.

A new PROTECTION object (see Section 14) is included in the Path message. This object carries the desired end-to-end LSP Protection Type -- in this case, "1+1 Bidirectional". This LSP Protection Type value is only applicable to bidirectional LSPs.

It is also desirable to allow distinguishing the working LSP (from which the signal is taken) from the protecting LSP. This is achieved for the working LSP by setting in the PROTECTION object the S bit to 0, the P bit to 0, and in the ASSOCIATION object, the Association ID to the protecting LSP\_ID. The protecting LSP is signaled by setting in the PROTECTION object the S bit to 0, the P bit to 1, and in the ASSOCIATION object the Association ID to the associated protected LSP\_ID.

### 6.2. End-to-End Switchover Request/Response

To coordinate the switchover between endpoints, an end-to-end switchover request/response exchange is needed since a failure affecting one of the LSPs results in both endpoints switching to the other LSP (resulting in receiving traffic from the other LSP) in their respective directions.

The procedure is as follows:

1. If an end-node (A or D) detects the failure of the working LSP (or a degradation of signal quality over the working LSP) or receives a Notify message including its SESSION object within the <upstream/downstream session list> (see [RFC3473]), and the new error code/sub-code "Notify Error/ LSP Locally Failed" in the (IF\_ID)\_ERROR\_SPEC object, it MUST begin receiving on the protecting LSP. Note that the <sender descriptor> or <flow

descriptor> is also present in the Notify message that resolves any ambiguity and race condition since identifying (together with the SESSION object) the LSP under failure condition.

Note: (IF\_ID)\_ERROR\_SPEC indicates that either the ERROR\_SPEC (C-Type 1/2) or the ERROR\_SPEC (C-Type 3/4, defined in [RFC3473]) can be used.

This node MUST reliably send a Notify message, including the MESSAGE\_ID object, to the other end-node (D or A, respectively) with the new error code/sub-code "Notify Error/LSP Failure" (Switchover Request) indicating the failure of the working LSP. This Notify message MUST be sent with the ACK\_Desired flag set in the MESSAGE\_ID object to request the receiver to send an acknowledgment for the message (see [RFC2961]).

This (switchover request) Notify message MAY indicate the identity of the failed link or any other relevant information using the IF\_ID ERROR\_SPEC object (see [RFC3473]). In this case, the IF\_ID ERROR\_SPEC object replaces the ERROR\_SPEC object in the Notify message; otherwise, the corresponding (data plane) information SHOULD be received in the PathErr/ResvErr message.

2. Upon receipt of the (switchover request) Notify message, the end-node (D or A, respectively) MUST begin receiving from the protecting LSP.

This node MUST reliably send a Notify message, including the MESSAGE\_ID object, to the other end-node (A or D, respectively). This (switchover response) Notify message MUST also include a MESSAGE\_ID\_ACK object to acknowledge reception of the (switchover request) Notify message.

This (switchover response) Notify message MAY indicate the identity of the failed link or any other relevant information using the IF\_ID ERROR\_SPEC object (see [RFC3473]).

Note: upon receipt of the (switchover response) Notify message, the end-node (A or D, respectively) MUST send an Ack message to the other end-node to acknowledge its reception.

Since the intermediate nodes (B, C, E, F, and G) are assumed to be GMPLS RSVP-TE signaling capable, each node adjacent to the failure MAY generate a Notify message directed either to the LSP head-end (upstream direction), or the LSP tail-end (downstream direction), or even both. Therefore, it is expected that these LSP terminating nodes (that MAY also detect the failure of the LSP from the data

plane) provide either the right correlation mechanism to avoid repetition of the above procedure or just discard subsequent Notify messages corresponding to the same Session. In addition, for the LSP under failure condition, it is RECOMMENDED to not set the Path\_State\_Removed Flag of the ERROR\_SPEC object (see [RFC3473]) upon PathErr message generation.

After protection switching completes (step 2), and after reception of the PathErr message, to keep track of the LSP from which the signal is taken, the protecting LSP SHOULD be signaled with the O bit set. The formerly working LSP MAY be signaled with the A bit set in the ADMIN\_STATUS object (see [RFC3473]).

Note: when the N bit is set, the end-to-end switchover request/response exchange described above only provides control plane coordination (no actions are triggered at the data plane level).

## 7. 1:1 Protection with Extra-Traffic

The most common case of end-to-end 1:N protection is to establish, between the same endpoints, an end-to-end working LSP (thus,  $N = 1$ ) and a dedicated end-to-end protecting LSP that are mutually link/node/SRLG disjoint. This protects against working LSP failure(s).

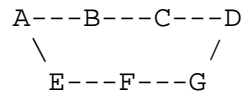
The protecting LSP is used for switchover when the working LSP fails. GMPLS RSVP-TE signaling allows for the pre-provisioning of protecting LSPs by indicating in the Path message (in the PROTECTION object; see Section 14) that the LSPs are of type protecting. Here, working and protecting LSPs are signaled as primary LSPs; both are fully instantiated during the provisioning phase.

Although the resources for the protecting LSP are pre-allocated, preemptable traffic may be carried end-to-end using this LSP. Thus, the protecting LSP is capable of carrying extra-traffic with the caveat that this traffic will be preempted if the working LSP fails.

The setup of the working LSP SHOULD indicate that the LSP head-end and tail-end node wish to receive Notify messages using the NOTIFY\_REQUEST object. The node upstream to the failure (upstream in terms of the direction an Path message traverses) SHOULD send a Notify message to the LSP head-end node, and the node downstream to the failure SHOULD send an Notify message to the LSP tail-end node. Upon receipt of the Notify messages, both the end-nodes MUST switch the (normal) traffic from the working LSP to the pre-configured protecting LSP (see Section 7.2). Moreover, some coordination is required if extra-traffic is carried over the end-to-end protecting

LSP. Note that if the working and the protecting LSP are established between the same end-nodes, no further notification is required to indicate that the working LSPs are no longer protected.

Consider the following topology:



The working LSP [A,B,C,D] could be protected by the protecting LSP [A,E,F,G,D]. Both LSPs are fully instantiated (resources are allocated for both working and protecting LSPs) and no resource sharing can be done along the protection path since the primary protecting LSP can carry extra-traffic.

Note: it is necessary that both paths are SRLG disjoint to ensure recoverability; otherwise, a single failure may impact both working and protecting LSPs.

### 7.1. Identifiers

To simplify association operations, both LSPs belong to the same session. Thus, the SESSION object MUST be the same for both LSPs. The LSP ID, however, MUST be different to distinguish between the protected LSP carrying working traffic and the protecting LSP that can carry extra-traffic.

A new PROTECTION object (see Section 14) is included in the Path message used to set up the two LSPs. This object carries the desired end-to-end LSP Protection Type -- in this case, "1:N Protection with Extra-Traffic". This LSP Protection Type value is applicable to both uni- and bidirectional LSPs.

The working LSP is signaled by setting in the new PROTECTION object the S bit to 0, the P bit to 0, and in the ASSOCIATION object, the Association ID to the protecting LSP\_ID.

The protecting LSP is signaled by setting in the new PROTECTION object the S bit to 0, the P bit to 1, and in the ASSOCIATION object, the Association ID to the associated protected LSP\_ID.

## 7.2. End-to-End Switchover Request/Response

To coordinate the switchover between endpoints, an end-to-end switchover request/response is needed such that the affected LSP is moved to the protecting LSP. Protection switching from the working to the protecting LSP (implying preemption of extra-traffic carried over the protecting LSP) must be initiated by one of the end-nodes (A or D).

The procedure is as follows:

1. If an end-node (A or D) detects the failure of the working LSP (or a degradation of signal quality over the working LSP) or receives a Notify message including its SESSION object within the <upstream/downstream session list> (see [RFC3473]), and the new error code/sub-code "Notify Error/LSP Locally Failed" in the (IF\_ID)\_ERROR\_SPEC object, it disconnects the extra-traffic from the protecting LSP. Note that the <sender descriptor> or <flow descriptor> is also present in the Notify message that resolves any ambiguity and race condition since identifying (together with the SESSION object) the LSP under failure condition.

This node MUST reliably send a Notify message, including the MESSAGE\_ID object, to the other end-node (D or A, respectively) with the new error code/sub-code "Notify Error/LSP Failure" (Switchover Request) indicating the failure of the working LSP. This Notify message MUST be sent with the ACK\_Desired flag set in the MESSAGE\_ID object to request the receiver to send an acknowledgment for the message (see [RFC2961]).

This (switchover request) Notify message MAY indicate the identity of the failed link or any other relevant information using the IF\_ID ERROR\_SPEC object (see [RFC3473]). In this case, the IF\_ID ERROR\_SPEC object replaces the ERROR\_SPEC object in the Notify message; otherwise, the corresponding (data plane) information SHOULD be received in the PathErr/ResvErr message.

2. Upon receipt of the (switchover request) Notify message, the end-node (D or A, respectively) MUST disconnect the extra-traffic from the protecting LSP and begin sending/receiving normal traffic out/from the protecting LSP.

This node MUST reliably send a Notify message, including the MESSAGE\_ID object, to the other end-node (A or D, respectively). This (switchover response) Notify message MUST

also include a MESSAGE\_ID\_ACK object to acknowledge reception of the (switchover request) Notify message.

This (switchover response) Notify message MAY indicate the identity of the failed link or any other relevant information using the IF\_ID ERROR\_SPEC object (see [RFC3473]).

Note: since the Notify message generated by the other end-node (A or D, respectively) is distinguishable from the one generated by an intermediate node, there is no possibility of connecting the extra-traffic to the working LSP due to the receipt of a Notify message from an intermediate node.

3. Upon receipt of the (switchover response) Notify message, the end-node (A or D, respectively) MUST begin receiving normal traffic from or sending normal traffic out the protecting LSP.

This node MUST also send an Ack message to the other end-node (D or A, respectively) to acknowledge the reception of the (switchover response) Notify message.

Note 1: a 2-phase protection-switching signaling is used in the present context; a 3-phase signaling (see [RFC4426]) that would imply a notification message, a switchover request, and a switchover response messages is not considered here. Also, when the protecting LSPs do not carry extra-traffic, protection-switching signaling (as defined in Section 6.2) MAY be used instead of the procedure described in this section.

Note 2: when the N bit is set, the above end-to-end switchover request/response exchange only provides control plane coordination (no actions are triggered at the data plane level).

After protection switching completes (step 3), and after reception of the PathErr message, to keep track of the LSP from which the normal traffic is taken, the protecting LSP SHOULD be signaled with the O bit set. In addition, the formerly working LSP MAY be signaled with the A bit set in the ADMIN\_STATUS object (see [RFC3473]).

### 7.3. 1:N (N > 1) Protection with Extra-Traffic

1:N (N > 1) protection with extra-traffic assumes that the fully provisioned protecting LSP is resource-disjoint from the N working LSPs. This protecting LSP thereby allows for carrying extra-traffic. Note that the N working LSPs and the protecting LSP are all between the same pair of endpoints. In addition, the N working LSPs (considered as identical in terms of traffic parameters) MAY be



mutually resource-disjoint. Coordination between end-nodes is required when switching from one of the working to the protecting LSP.

Each working LSP is signaled with both S bit and P bit set to 0. The LSP Protection Type is set to 0x04 (1:N Protection with Extra-Traffic) during LSP setup. Each Association ID points to the protecting LSP ID.

The protecting LSP (carrying extra-traffic) is signaled with the S bit set to 0 and the P bit set to 1. The LSP Protection Type is set to 0x04 (1:N Protection with Extra-Traffic) during LSP setup. The Association ID MUST be set by default to the LSP ID of the protected LSP corresponding to  $N = 1$ .

Any signaling procedure applicable to 1:1 protection with extra-traffic equally applies to 1:N protection with extra-traffic.

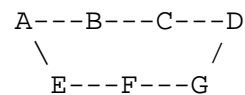
## 8. Rerouting without Extra-Traffic

End-to-end (pre-planned) rerouting without extra-traffic relies on the establishment between the same pair of end-nodes of a working LSP and a protecting LSP that is link/node/SRLG disjoint from the working LSP. However, in this case the protecting LSP is not fully instantiated; thus, it cannot carry any extra-traffic (note that this does not mean that the corresponding resources cannot be used by other LSPs). Therefore, this mechanism protects against working LSP failure(s) but requires activation of the protecting LSP after failure occurrence.

Signaling is performed by indicating in the Path message (in the PROTECTION object; see Section 14) that the LSPs are of type working and protecting, respectively. Protecting LSPs are used for fast switchover when working LSPs fail. In this case, working and protecting LSPs are signaled as primary LSP and secondary LSP, respectively. Thus, only the working LSP is fully instantiated during the provisioning phase, and for the protecting LSPs, no resources are committed at the data plane level (they are pre-reserved at the control plane level only). The setup of the working LSP SHOULD indicate (using the NOTIFY REQUEST object as specified in Section 4 of [RFC3473]) that the LSP head-end node (and possibly the tail-end node) wish to receive a Notify message upon LSP failure occurrence. Upon receipt of the Notify message, the head-end node MUST switch the (normal) traffic from the working LSP to the protecting LSP after its activation. Note that since the working and the protecting LSPs are established between the same end-nodes, no further notification is required to indicate that the working LSPs are without protection.

To make bandwidth pre-reserved for a protecting (but not activated) LSP available for extra-traffic, this bandwidth could be included in the advertised Unreserved Bandwidth at priority lower (means numerically higher) than the Holding Priority of the protecting LSP. In addition, the Max LSP Bandwidth field in the Interface Switching Capability Descriptor sub-TLV should reflect the fact that the bandwidth pre-reserved for the protecting LSP is available for extra traffic. LSPs for extra-traffic then can be established using the bandwidth pre-reserved for the protecting LSP by setting (in the Path message) the Setup Priority field of the SESSION\_ATTRIBUTE object to X (where X is the Setup Priority of the protecting LSP), and the Holding Priority field to at least X+1. Also, if the resources pre-reserved for the protecting LSP are used by lower-priority LSPs, these LSPs MUST be preempted when the protecting LSP is activated (see Section 10).

Consider the following topology:



The working LSP [A,B,C,D] could be protected by the protecting LSP [A,E,F,G,D]. Only the protected LSP is fully instantiated (resources are only allocated for the working LSP). Therefore, the protecting LSP cannot carry any extra-traffic. When a failure is detected on the working LSP (say, at B), the error is propagated and/or notified (using a Notify message with the new error code/sub-code "Notify Error/LSP Locally Failed" in the (IF\_ID)\_ERROR\_SPEC object) to the ingress node (A). Upon reception, the latter activates the secondary protecting LSP instantiated during the (pre-)provisioning phase. This requires:

- (1) the ability to identify a "secondary protecting LSP" (hereby called the "secondary LSP") used to recover another primary working LSP (hereby called the "protected LSP")
- (2) the ability to associate the secondary LSP with the protected LSP
- (3) the capability to activate a secondary LSP after failure occurrence.

In the following subsections, these features are described in more detail.

### 8.1. Identifiers

To simplify association operations, both LSPs (i.e., the protected and the secondary LSPs) belong to the same session. Thus, the SESSION object MUST be the same for both LSPs. The LSP ID, however, MUST be different to distinguish between the protected LSP carrying working traffic and the secondary LSP that cannot carry extra-traffic.

A new PROTECTION object (see Section 14) is used to set up the two LSPs. This object carries the desired end-to-end LSP Protection Type (in this case, "Rerouting without Extra-Traffic"). This LSP Protection Type value is applicable to both uni- and bidirectional LSPs.

### 8.2. Signaling Primary LSPs

The new PROTECTION object is included in the Path message during signaling of the primary working LSP, with the end-to-end LSP Protection Type value set to "Rerouting without Extra-Traffic".

Primary working LSPs are signaled by setting in the new PROTECTION object the S bit to 0, the P bit to 0, and in the ASSOCIATION object, the Association ID to the associated secondary protecting LSP\_ID.

### 8.3. Signaling Secondary LSPs

The new PROTECTION object is included in the Path message during signaling of secondary protecting LSPs, with the end-to-end LSP Protection Type value set to "Rerouting without Extra-Traffic".

Secondary protecting LSPs are signaled by setting in the new PROTECTION object the S bit and the P bit to 1, and in the ASSOCIATION object, the Association ID to the associated primary working LSP\_ID, which MUST be known before signaling of the secondary LSP.

With this setting, the resources for the secondary LSP SHOULD be pre-reserved, but not committed at the data plane level, meaning that the internals of the switch need not be established until explicit action is taken to activate this secondary LSP. Activation of a secondary LSP is done using a modified Path message with the S bit set to 0 in the PROTECTION object. At this point, the link and node resources must be allocated for this LSP that becomes a primary LSP (ready to carry normal traffic).

From [RFC3945], the secondary LSP is set up with resource pre-reservation but with or without label pre-selection (both allowing sharing of the recovery resources). In the former case (defined as the default), label allocation during secondary LSP signaling does not require any specific procedure compared to [RFC3473]. However, in the latter case, label (and thus resource) re-allocation MAY occur during the secondary LSP activation. This means that during the LSP activation phase, labels MAY be reassigned (with higher precedence over existing label assignment; see also [RFC3471]).

Note: under certain circumstances (e.g., when pre-reserved protecting resources are used by lower-priority LSPs), it MAY be desirable to perform the activation of the secondary LSP in the upstream direction (Resv trigger message) instead of using the default downstream activation. In this case, any mis-ordering and any mis-interpretation between a refresh Resv (along the lower-priority LSP) and a trigger Resv message (along the secondary LSP) MUST be avoided at any intermediate node. For this purpose, upon reception of the Path message, the egress node MAY include the PROTECTION object in the Resv message. The latter is then processed on a hop-by-hop basis to activate the secondary LSP until reaching the ingress node. The PROTECTION object included in the Path message MUST be set as specified in this section. In this case, the PROTECTION object with the S bit MUST be set to 0 and included in the Resv message sent in the upstream direction. The upstream activation behavior SHOULD be configurable on a local basis. Details concerning lower-priority LSP preemption upon secondary LSP activation are provided in Section 10.

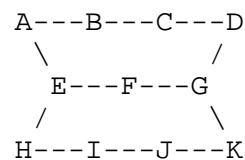
## 9. Shared-Mesh Restoration

An approach to reduce recovery resource requirements is to have protection LSPs sharing network resources when the working LSPs that they protect are physically (i.e., link, node, SRLG, etc.) disjoint. This mechanism is referred to as shared mesh restoration and is described in [RFC4426]. Shared-mesh restoration can be seen as a particular case of pre-planned LSP rerouting (see Section 8) that reduces the recovery resource requirements by allowing multiple protecting LSPs to share common link and node resources. Here also, the recovery resources for the protecting LSPs are pre-reserved during the provisioning phase, thus an explicit signaling action is required to activate (i.e., commit resource allocation at the data plane) a specific protecting LSP instantiated during the (pre-) provisioning phase. This requires restoration signaling along the protecting LSP.

To make bandwidth pre-reserved for a protecting (but not activated) LSP, available for extra-traffic this bandwidth could be included in the advertised Unreserved Bandwidth at priority lower (means

numerically higher) than the Holding Priority of the protecting LSP. In addition, the Max LSP Bandwidth field in the Interface Switching Capability Descriptor sub-TLV should reflect the fact that the bandwidth pre-reserved for the protecting LSP is available for extra traffic. LSPs for extra-traffic then can be established using the bandwidth pre-reserved for the protecting LSP by setting (in the Path message) the Setup Priority field of the SESSION\_ATTRIBUTE object to X (where X is the Setup Priority of the protecting LSP) and the Holding Priority field to at least X+1. Also, if the resources pre-reserved for the protecting LSP are used by lower priority LSPs, these LSPs MUST be preempted when the protecting LSP is activated (see Section 10). Further, if the recovery resources are shared between multiple protecting LSPs, the corresponding working LSPs head-end nodes must be informed that they are no longer protected when the protecting LSP is activated to recover the normal traffic for the working LSP under failure.

Consider the following topology:



The working LSPs [A,B,C,D] and [H,I,J,K] could be protected by [A,E,F,G,D] and [H,E,F,G,K], respectively. Per [RFC3209], in order to achieve resource sharing during the signaling of these protecting LSPs, they must have the same Tunnel Endpoint Address (as part of their SESSION object). However, these addresses are not the same in this example. Resource sharing along E, F, and G can only be achieved if the nodes E, F, and G recognize that the LSP Protection Type of the secondary LSP is set to "Rerouting without Extra-Traffic" (see PROTECTION object, Section 14) and acts accordingly. In this case, the protecting LSPs are not merged (which is useful since the paths diverge at G), but the resources along E, F, G can be shared.

When a failure is detected on one of the working LSPs (say, at B), the error is propagated and/or notified (using a Notify message with the new error code/sub-code "Notify Error/LSP Locally Failed" in the (IF\_ID)\_ERROR\_SPEC object) to the ingress node (A). Upon reception, the latter activates the secondary protecting LSP (see Section 8). At this point, it is important that a failure on the other LSP (say, at J) does not cause the other ingress (H) to send the data down the protecting LSP since the resources are already in use. This can be achieved by node E using the following procedure. When the capacity is first reserved for the protecting LSP, E should verify that the LSPs being protected ([A,B,C,D] and [H,I,J,K], respectively) do not

share any common resources. Then, when a failure occurs (say, at B) and the protecting LSP [A,E,F,G,D] is activated, E should notify H that the resources for the protecting LSP [H,E,F,G,K] are no longer available.

The following subsections detail how shared mesh restoration can be implemented in an interoperable fashion using GMPLS RSVP-TE extensions (see [RFC3473]). This includes:

- (1) the ability to identify a "secondary protecting LSP" (hereby called the "secondary LSP") used to recover another primary working LSP (hereby called the "protected LSP")
- (2) the ability to associate the secondary LSP with the protected LSP
- (3) the capability to include information about the resources used by the protected LSP while instantiating the secondary LSP.
- (4) the capability to instantiate during the provisioning phase several secondary LSPs in an efficient manner.
- (5) the capability to activate a secondary LSP after failure occurrence.

In the following subsections, these features are described in detail.

### 9.1. Identifiers

To simplify association operations, both LSPs (i.e., the protected and the secondary LSPs) belong to the same session. Thus, the SESSION object MUST be the same for both LSPs. The LSP ID, however, MUST be different to distinguish between the protected LSP carrying working traffic and the secondary LSP that cannot carry extra-traffic.

A new PROTECTION object (see Section 14) is used to set up the two LSPs. This object carries the desired end-to-end LSP Protection Type -- in this case, "Rerouting without Extra-Traffic". This LSP Protection Type value is applicable to both uni- and bidirectional LSPs.

### 9.2. Signaling Primary LSPs

The new PROTECTION object is included in the Path message during signaling of the primary working LSPs, with the end-to-end LSP Protection Type value set to "Rerouting without Extra-Traffic".

Primary working LSPs are signaled by setting in the new PROTECTION object the S bit to 0, the P bit to 0, and in the ASSOCIATION object, the Association ID to the associated secondary protecting LSP\_ID.

### 9.3. Signaling Secondary LSPs

The new PROTECTION object is included in the Path message during signaling of the secondary protecting LSPs, with the end-to-end LSP Protection Type value set to "Rerouting without Extra-Traffic".

Secondary protecting LSPs are signaled by setting in the new PROTECTION object the S bit and the P bit to 1, and in the ASSOCIATION object, the Association ID to the associated primary working LSP\_ID, which MUST be known before signaling of the secondary LSP. Moreover, the Path message used to instantiate the secondary LSP SHOULD include at least one PRIMARY\_PATH\_ROUTE object (see Section 15) that further allows for recovery resource sharing at each intermediate node along the secondary path.

With this setting, the resources for the secondary LSP SHOULD be pre-reserved, but not committed at the data plane level, meaning that the internals of the switch need not be established until explicit action is taken to activate this LSP. Activation of a secondary LSP is done using a modified Path message with the S bit set to 0 in the PROTECTION object. At this point, the link and node resources must be allocated for this LSP that becomes a primary LSP (ready to carry normal traffic).

From [RFC3945], the secondary LSP is set up with resource pre-reservation but with or without label pre-selection (both allowing sharing of the recovery resources). In the former case (defined as the default), label allocation during secondary LSP signaling does not require any specific procedure compared to [RFC3473]. However, in the latter case, label (and thus resource) re-allocation MAY occur during the secondary LSP activation. This means that, during the LSP activation phase, labels MAY be reassigned (with higher precedence over existing label assignment; see also [RFC3471]).

### 10. LSP Preemption

When protecting resources are only pre-reserved for the secondary LSPs, they MAY be used to set up lower-priority LSPs. In this case, these resources MUST be preempted when the protecting LSP is activated. An additional condition arises from misconnection avoidance between the secondary protecting LSP being activated and the low-priority LSP(s) being preempted. Procedure to be applied when the secondary protecting LSP (i.e., the preempting LSP) Path message reaches a node using the resources for lower-priority LSP(s) (i.e., preempted LSP(s)) is as follows:

1. De-allocate resources to be used by the preempting LSP and release the cross-connection. Note that if the preempting LSP is bidirectional, these resources may come from one or two lower-priority LSPs, and if from two LSPs, they may be uni- or bi-directional. The preempting node SHOULD NOT send the Path message before the de-allocation of resources has completed since this may lead to the downstream path becoming misconnected if the downstream node is able to reassign the resources more quickly.
2. Send PathTear and PathErr messages with the new error code/sub-code "Policy Control failure/Hard preempted" and the Path\_State\_Removed flag set for the preempted LSP(s).
3. Reserve the preempted resources for the protecting LSP. The preempting node MUST NOT cross-connect the upstream resources of a bidirectional preempting LSP.
4. Send the Path message.
5. Upon reception of a trigger Resv message from the downstream node, cross-connect the downstream path resources, and if the preempting LSP is bidirectional, perform cross-connection for the upstream path resources.

Note that step 1 may cause alarms to be raised for the preempted LSP. If alarm suppression is desired, the preempting node MAY insert the following steps before step 1.

- 1a. Before de-allocating resources, send a Resv message, including an ADMIN\_STATUS object, to disable alarms for the preempted LSP.
- 1b. Receive a Path message indicating that alarms are disabled.

At the downstream node (with respect to the preempting LSP), the processing is RECOMMENDED to be as follows:

1. Receive PathTear (and/or PathErr) message for the preempted LSP(s).
- 2a. Release the resources associated with the LSP on the interface to the preempting LSP, remove any cross-connection, and release all other resources associated with the preempted LSP.
- 2b. Forward the PathTear (and/or PathErr) message per [RFC3473].
3. Receive the Path message for the preempting LSP and process as normal, forwarding it to the downstream node.
4. Receive the Resv message for the preempting LSP and process as normal, forwarding it to the upstream node.



## 11. (Full) LSP Rerouting

LSP rerouting, on the other hand, switches normal traffic to an alternate LSP that is fully established only after failure occurrence. The new (alternate) route is selected at the LSP head-end and may reuse intermediate nodes included in the original route; it may also include additional intermediate nodes. For strict-hop routing, TE requirements can be directly applied to the route computation, and the failed node or link can be avoided. However, if the failure occurred within a loose-routed hop, the head-end node may not have enough information to reroute the LSP around the failure. Crankback signaling (see [CRANK]) and route exclusion techniques (see [RFC4874]) MAY be used in this case.

The alternate route MAY be either computed on demand (that is, when the failure occurs; this is referred to as full LSP rerouting) or pre-computed and stored for use when the failure is reported. The latter offers faster restoration time. There is, however, a risk that the alternate route will become out of date through other changes in the network; this can be mitigated to some extent by periodic recalculation of idle alternate routes.

(Full) LSP rerouting will be initiated by the head-end node that has either detected the LSP failure or received a Notify message and/or a PathErr message with the new error code/sub-code "Notify Error/LSP Locally Failed" for this LSP. The new LSP resources can be established using the make-before-break mechanism, where the new LSP is set up before the old LSP is torn down. This is done by using the mechanisms of the SESSION\_ATTRIBUTE object and the Shared-Explicit (SE) reservation style (see [RFC3209]). Both the new and old LSPs can share resources at common nodes.

Note that the make-before-break mechanism is not used to avoid disruption to the normal traffic flow (the latter has already been broken by the failure that is being repaired). However, it is valuable to retain the resources allocated on the original LSP that will be reused by the new alternate LSP.

### 11.1. Identifiers

The Tunnel Endpoint Address, Tunnel ID, Extended Tunnel ID, and Tunnel Sender Address uniquely identify both the old and new LSPs. Only the LSP\_ID value differentiates the old from the new alternate LSP. The new alternate LSP is set up before the old LSP is torn down using Shared-Explicit (SE) reservation style. This ensures that the new (alternate) LSP is established without double-counting resource requirements along common segments.

The alternate LSP MAY be set up before any failure occurrence with SE-style resource reservation, the latter shares the same Tunnel End Point Address, Tunnel ID, Extended Tunnel ID, and Tunnel Sender Address with the original LSP (i.e., only the LSP ID value MUST be different).

In both cases, the Association ID of the ASSOCIATION object MUST be set to the LSP ID value of the signaled LSP.

### 11.2. Signaling Reroutable LSPs

A new PROTECTION object is included in the Path message during signaling of dynamically reroutable LSPs, with the end-to-end LSP Protection Type value set to "Full Rerouting". These LSPs that can be either uni- or bidirectional are signaled by setting in the PROTECTION object the S bit to 0, the P bit to 0, and the Association ID value to the LSP\_ID value of the signaled LSP. Any specific action to be taken during the provisioning phase is up to the end-node local policy.

Note: when the end-to-end LSP Protection Type is set to "Unprotected", both S and P bit MUST be set to 0, and the LSP SHOULD NOT be rerouted at the head-end node after failure occurrence. The Association\_ID value MUST be set to the LSP\_ID value of the signaled LSP. This does not mean that the Unprotected LSP cannot be re-established for other reasons such as path re-optimization and bandwidth adjustment driven by policy conditions.

### 12. Reversion

Reversion refers to a recovery switching operation, where the normal traffic returns to (or remains on) the working LSP when it has recovered from the failure. Reversion implies that resources remain allocated to the LSP that was originally routed over them even after a failure. It is important to have mechanisms that allow reversion to be performed with minimal service disruption and reconfiguration.

For "1+1 bidirectional Protection", reversion to the recovered LSP occurs by using the following sequence:

1. Clear the A bit of the ADMIN\_STATUS object if set for the recovered LSP.
2. Then, apply the method described below to switch normal traffic back from the protecting to the recovered LSP. This is performed by using the new error code/sub-code "Notify Error/LSP Recovered" (Switchback Request).

The procedure is as follows:

- 1) The initiating (source) node sends the normal traffic onto both the working and the protecting LSPs. Once completed, the source node sends reliably a Notify message to the destination with the new error code/sub-code "Notify Error/LSP Recovered" (Switchback Request). This Notify message includes the MESSAGE\_ID object. The ACK\_Desired flag MUST be set in this object to request the receiver to send an acknowledgment for the message (see [RFC2961]).
- 2) Upon receipt of this message, the destination selects the traffic from the working LSP. At the same time, it transmits the traffic onto both the working and protecting LSP.

The destination then sends reliably a Notify message to the source confirming the completion of the operation. This message includes the MESSAGE\_ID\_ACK object to acknowledge reception of the received Notify message. This Notify message also includes the MESSAGE\_ID object. The ACK\_Desired flag MUST be set in this object to request the receiver to send an acknowledgment for the message (see [RFC2961]).

- 3) When the source node receives this Notify message, it switches to receive traffic from the working LSP.

The source node then sends an Ack message to the destination node confirming that the LSP has been reverted.

3. Finally, clear the O bit of the PROTECTION object sent over the protecting LSP.

For "1:N Protection with Extra-traffic", reversion to the recovered LSP occurs by using the following sequence:

1. Clear the A bit of the ADMIN\_STATUS object if set for the recovered LSP.
2. Then, apply the method described below to switch normal traffic back from the protecting to the recovered LSP. This is performed by using the new error code/sub-code "Notify Error/LSP Recovered" (Switchback Request).

The procedure is as follows:

- 1) The initiating (source) node sends the normal traffic onto both the working and the protecting LSPs. Once completed, the source node sends reliably a Notify message to the destination

with the new error code/sub-code "Notify Error/LSP Recovered" (Switchback Request). This Notify message includes the MESSAGE\_ID object. The ACK\_Desired flag MUST be set in this object to request the receiver to send an acknowledgment for the message (see [RFC2961]).

- 2) Upon receipt of this message, the destination selects the traffic from the working LSP. At the same time, it transmits the traffic onto both the working and protecting LSP.

The destination then sends reliably a Notify message to the source confirming the completion of the operation. This message includes the MESSAGE\_ID\_ACK object to acknowledge reception of the received Notify message. This Notify message also includes the MESSAGE\_ID object. The ACK\_Desired flag MUST be set in this object to request the receiver to send an acknowledgment for the message (see [RFC2961]).

- 3) When the source node receives this Notify message, it switches to receive traffic from the working LSP, and stops transmitting traffic on the protecting LSP.

The source node then sends an Ack message to the destination node confirming that the LSP has been reverted.

- 4) Upon receipt of this message, the destination node stops transmitting traffic along the protecting LSP.

3. Finally, clear the O bit of the PROTECTION object sent over the protecting LSP.

For "Rerouting without Extra-traffic" (including the shared recovery case), reversion implies that the formerly working LSP has not been torn down by the head-end node upon PathErr message reception, i.e., the head-end node kept refreshing the working LSP under failure condition. This ensures that the exact same resources are retrieved after reversion switching (except if the working LSP required re-signaling). Re-activation is performed using the following sequence:

1. Clear the A bit of the ADMIN\_STATUS object if set for the recovered LSP.
2. Then, apply the method described below to switch normal traffic back from the protecting to the recovered LSP. This is performed by using the new error code/sub-code "Notify Error/LSP Recovered" (Switchback Request).

The procedure is as follows:

- 1) The initiating (source) node sends the normal traffic onto both the working and the protecting LSPs. Once completed, the source node sends reliably a Notify message to the destination with the new error code/sub-code "Notify Error/LSP Recovered" (Switchback Request). This Notify message includes the MESSAGE\_ID object. The ACK\_Desired flag MUST be set in this object to request the receiver to send an acknowledgment for the message (see [RFC2961]).
- 2) Upon receipt of this message, the destination selects the traffic from the working LSP. At the same time, it transmits the traffic onto both the working and protecting LSP.

The destination then sends reliably a Notify message to the source confirming the completion of the operation. This message includes the MESSAGE\_ID\_ACK object to acknowledge reception of the received Notify message. This Notify message also includes the MESSAGE\_ID object. The ACK\_Desired flag MUST be set in this object to request the receiver to send an acknowledgment for the message (see [RFC2961]).

- 3) When the source node receives this Notify message, it switches to receive traffic from the working LSP, and stops transmitting traffic on the protecting LSP.

The source node then sends an Ack message to the destination node confirming that the LSP has been reverted.

- 4) Upon receipt of this message, the destination node stops transmitting traffic along the protecting LSP.
3. Finally, de-activate the protecting LSP by setting the S bit to 1 in the PROTECTION object sent over the protecting LSP.

### 13. Recovery Commands

This section specifies the control plane behavior when using several commands (see [RFC4427]) that can be used to influence the recovery operations.

#### A. Lockout of recovery LSP:

The Lockout (L) bit of the ADMIN\_STATUS object is used following the rules defined in Section 8 of [RFC3471] and Section 7 of [RFC3473]. The L bit must be set together with the Reflect (R) bit in the ADMIN\_STATUS object sent in the Path message. Upon reception of the

Resv message with the L bit set, this forces the recovery LSP to be temporarily unavailable to transport traffic (either normal or extra-traffic). Unlock is performed by clearing the L bit, following the rules defined in Section 7 of [RFC3473]. This procedure is only applicable when the LSP Protection Type Flag is set to either 0x04 (1:N Protection with Extra-Traffic), or 0x08 (1+1 Unidirectional Protection), or 0x10 (1+1 Bidirectional Protection).

The updated format of the ADMIN\_STATUS object to include the L bit is as follows:

```

      0               1               2               3
      0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|               Length               | Class-Num(196) | C-Type (1) |
+-----+-----+-----+-----+-----+-----+-----+-----+
|R|               Reserved               |L|I|C|T|A|D|
+-----+-----+-----+-----+-----+-----+-----+-----+

```

Lockout (L): 1 bit

When set, forces the recovery LSP to be temporarily unavailable to transport traffic (either normal or extra traffic).

The R (Reflect), T (Testing), A (Administratively down), and D (Deletion in progress) bits are defined in [RFC3471]. The C (Call control) bit is defined in [GMPLS-CALL], and the I (Inhibit alarm communication) bit in [RFC4783].

#### B. Lockout of normal traffic:

The O bit of the PROTECTION object is set to 1 to force the recovery LSP to be temporarily unavailable to transport normal traffic. This operation MUST NOT occur unless the working LSP is carrying the normal traffic. Unlock is performed by clearing the O bit over the protecting LSP. This procedure is only applicable when the LSP Protection Type Flag is set to either 0x04 (1:N Protection with Extra-Traffic), or 0x08 (1+1 Unidirectional Protection), or 0x10 (1+1 Bidirectional Protection).

#### C. Forced switch for normal traffic:

Recovery signaling is initiated that switches normal traffic to the recovery LSP following the procedures defined in Section 6, 7, 8, and 9.

#### D. Requested switch for normal traffic:

Recovery signaling is initiated that switches normal traffic to the recovery LSP following the procedures defined in Section 6, 7, 8, and 9. This happens unless a fault condition exists on other LSPs or spans (including the recovery LSP), or a switch command of equal or higher priority is in effect.

#### E. Requested switch for recovery LSP:

Recovery signaling is initiated that switches normal traffic to the working LSP following the procedure defined in Section 12. This request is executed except if a fault condition exists on the working LSP or an equal or higher priority switch command is in effect.

### 14. PROTECTION Object

This section describes the extensions to the PROTECTION object to broaden its applicability to end-to-end LSP recovery.

#### 14.1. Format

The format of the PROTECTION Object (Class-Num = 37, C-Type = 2) is as follows:

0										1										2										3									
0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9
Length										Class-Num(37)										C-Type (2)																			
S P N O  Reserved										LSP Flags										Reserved										Link Flags									
Reserved										Reserved										Reserved										Reserved									

Secondary (S): 1 bit

When set to 1, this bit indicates that the requested LSP is a secondary LSP. When set to 0 (default), it indicates that the requested LSP is a primary LSP.

Protecting (P): 1 bit

When set to 1, this bit indicates that the requested LSP is a protecting LSP. When set to 0 (default), it indicates that the requested LSP is a working LSP. The combination, S set to 1 with P set to 0 is not valid.

## Notification (N): 1 bit

When set to 1, this bit indicates that the control plane message exchange is only used for notification during protection switching. When set to 0 (default), it indicates that the control plane message exchanges are used for protection-switching purposes. The N bit is only applicable when the LSP Protection Type Flag is set to either 0x04 (1:N Protection with Extra-Traffic), or 0x08 (1+1 Unidirectional Protection), or 0x10 (1+1 Bidirectional Protection). The N bit MUST be set to 0 in any other case.

## Operational (O): 1 bit

When set to 1, this bit indicates that the protecting LSP is carrying the normal traffic after protection switching. The O bit is only applicable when the P bit is set to 1, and the LSP Protection Type Flag is set to either 0x04 (1:N Protection with Extra-Traffic), or 0x08 (1+1 Unidirectional Protection) or 0x10 (1+1 Bidirectional Protection). The O bit MUST be set to 0 in any other case.

## Reserved: 5 bits

This field is reserved. It MUST be set to zero on transmission and MUST be ignored on receipt. These bits SHOULD be passed through unmodified by transit nodes.

## LSP (Protection Type) Flags: 6 bits

Indicates the desired end-to-end LSP recovery type. A value of 0 implies that the LSP is "Unprotected". Only one value SHOULD be set at a time. The following values are defined. All other values are reserved.

0x00	Unprotected
0x01	(Full) Rerouting
0x02	Rerouting without Extra-Traffic
0x04	1:N Protection with Extra-Traffic
0x08	1+1 Unidirectional Protection
0x10	1+1 Bidirectional Protection

## Reserved: 10 bits

This field is reserved. It MUST be set to zero on transmission and MUST be ignored on receipt. These bits SHOULD be passed through unmodified by transit nodes.



Link Flags: 6 bits

Indicates the desired link protection type (see [RFC3471]).

Reserved field: 32 bits

Encoding of this field is detailed in [RFC4873].

#### 14.2. Processing

Intermediate and egress nodes processing a Path message containing a PROTECTION object MUST verify that the requested LSP Protection Type can be satisfied by the incoming interface. If it cannot, the node MUST generate a PathErr message, with the new error code/sub-code "Routing problem/Unsupported LSP Protection".

Intermediate nodes processing a Path message containing a PROTECTION object with the LSP Protection Type 0x02 (Rerouting without Extra-Traffic) value set and a PRIMARY\_PATH\_ROUTE object (see Section 15) MUST verify that the requested LSP Protection Type can be supported by the outgoing interface. If it cannot, the node MUST generate a PathErr message with the new error code/sub-code "Routing problem/Unsupported LSP Protection".

#### 15. PRIMARY\_PATH\_ROUTE Object

The PRIMARY\_PATH\_ROUTE object (PPRO) is defined to inform nodes along the path of a secondary protecting LSP about which resources (link/nodes) are being used by the associated primary protected LSP (as specified by the Association ID field). If the LSP Protection Type value is set to 0x02 (Rerouting without Extra-Traffic), this object SHOULD be present in the Path message for the pre-provisioning of the secondary protecting LSP to enable recovery resource sharing between one or more secondary protecting LSPs (see Section 9). This document does not assume or preclude any other usage for this object.

PRIMARY\_PATH\_ROUTE objects carry information extracted from the EXPLICIT\_ROUTE object and/or the RECORD\_ROUTE object of the primary working LSPs they protect. Selection of the PPRO content is up to local policy of the head-end node that initiates the request. Therefore, the information included in these objects can be used as policy-based admission control to ensure that recovery resources are only shared between secondary protecting LSPs whose associated primary LSPs have link/node/SRLG disjoint paths.

### 15.1. Format

The primary path route is specified via the PRIMARY\_PATH\_ROUTE object (PPRO). The Primary Path Route Class Number (Class-Num) of form 0bbbbbbb 38.

Currently one C-Type (Class-Type) is defined, Type 1, Primary Path Route. The PRIMARY\_PATH\_ROUTE object has the following format:

Class-Num = 38 (of the form 0bbbbbbb), C-Type = 1

```

      0               1               2               3
      0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                                     |                                     |
|//                                     (Subobjects)                                     //|
|                                     |                                     |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

The contents of a PRIMARY\_PATH\_ROUTE object are a series of variable-length data items called subobjects (see Section 15.3).

To signal a secondary protecting LSP, the Path message MAY include one or multiple PRIMARY\_PATH\_ROUTE objects, where each object is meaningful. The latter is useful when a given secondary protecting LSP must be link/node/SRLG disjoint from more than one primary LSP (i.e., is protecting more than one primary LSP).

### 15.2. Subobjects

The PRIMARY\_PATH\_ROUTE object is defined as a list of variable-length data items called subobjects. These subobjects are derived from the subobjects of the EXPLICIT\_ROUTE and/or RECORD\_ROUTE object of the primary working LSP(s).

Each subobject has its own length field. The length contains the total length of the subobject in bytes, including the Type and Length fields. The length MUST always be a multiple of 4, and at least 4.

The following subobjects are currently defined for the PRIMARY\_PATH\_ROUTE object:

- Sub-Type 1: IPv4 Address (see [RFC3209])
- Sub-Type 2: IPv6 Address (see [RFC3209])
- Sub-Type 3: Label (see [RFC3473])
- Sub-Type 4: Unnumbered Interface (see [RFC3477])

An empty PPRO with no subobjects is considered illegal. If there is no first subobject, the corresponding Path message is also in error, and the receiving node SHOULD return a PathErr message with the new error code/sub-code "Routing Problem/Bad PRIMARY\_PATH\_ROUTE object".

Note: an intermediate node processing a PPRO can derive SRLG identifiers from the local IGP-TE database using its Type 1, 2, or 4 subobject values as pointers to the corresponding TE Links (assuming each of them has an associated SRLG TE attribute).

### 15.3. Applicability

The PRIMARY\_PATH\_ROUTE object MAY only be used when all GMPLS nodes along the path support the PRIMARY\_PATH\_ROUTE object and a secondary protecting LSP is being requested. The PRIMARY\_PATH\_ROUTE object is assigned a class value of the form 0bbbbbbb. Receiving GMPLS nodes along the path that do not support this object MUST return a PathErr message with the "Unknown Object Class" error code (see [RFC2205]).

Also, the following restrictions MUST be applied with respect to the PPRO usage:

- PPROs MAY only be included in Path messages when signaling secondary protecting LSPs (S bit = 1 and P bit = 1) and when the LSP Protection Type value is set to 0x02 (without Rerouting Extra-Traffic) in the PROTECTION object (see Section 14).
- PPROs SHOULD be present in the Path message for the pre-provisioning of the secondary protecting LSP to enable recovery resource sharing between one or more secondary protecting LSPs (see Section 15.4).
- PPROs MUST NOT be used in any other conditions. In particular, if a PPRO is received when the S bit is set to 0 in the PROTECTION object, the receiving node MUST return a PathErr message with the new error code/sub-code "Routing Problem/PRIMARY\_PATH\_ROUTE object not applicable".
- Crossed exchanges of PPROs over primary LSPs are forbidden (i.e., their usage is restricted to a single set of protected LSPs).
- The PPRO's content MUST NOT include subobjects coming from other PPROs. In particular, received PPROs MUST NOT be reused to establish other working or protecting LSPs.

#### 15.4. Processing

The PPRO enables sharing recovery resources between a given secondary protecting LSP and one or more secondary protecting LSPs if their corresponding primary working LSPs have mutually (link/node/SRLG) disjoint paths. Consider a node N through which n secondary protecting LSPs (say, P[1],...,P[n]) have already been established that protect n primary working LSPs (say, P'[1],...,P'[n]). Suppose also that these n secondary working LSPs share a given outgoing link resource (say r).

Now, suppose that node N receives a Path message for an additional secondary protecting LSP (say, Q, protecting Q'). The PPRO carried by this Path message is processed as follows:

- N checks whether the primary working LSPs P'[1],...,P'[n] associated with the LSPs P[1],...,P[n], respectively, have any link, node, and SLRG in common with the primary working Q' (associated with Q) by comparing the stored PPRO subobjects associated with P'[1],...,P'[n] with the PPRO subobjects associated with Q' received in the Path message.
- If this is the case, N SHOULD NOT attempt to share the outgoing link resource r between P[1],...,P[n] and Q. However, upon local policy decision, N MAY allocate another available (shared) link other than r for use by Q. If this is not the case (upon the local policy decision that no other link is allowed to be allocated for Q) or if no other link is available for Q, N SHOULD return a PathErr message with the new error code/sub-code "Admission Control Failure/LSP Admission Failure".
- Otherwise (if P'[1],...,P'[n] and Q' are fully disjoint), the link r selected by N for the LSP Q MAY be exactly the same as the one selected for the LSPs P[1],...,P[n]. This happens after verifying (from the node's local policy) that the selected link r can be shared between these LSPs. If this is not the case (for instance, the sharing ratio has reached its maximum for that link), and if upon local policy decision, no other link is allowed to be allocated for Q, N SHOULD return a PathErr message with the error code/sub-code "Admission Control Failure/Requested Bandwidth Unavailable" (see [RFC2205]). Otherwise (if no other link is available), N SHOULD return a PathErr message with the new error code/sub-code "Admission Control Failure/LSP Admission Failure".

Note that the process, through which m out of the n ( $m \leq n$ ) secondary protecting LSPs' PPROs may be selected on a local basis to perform the above comparison and subsequent link selection, is out of scope of this document.

## 16. ASSOCIATION Object

The ASSOCIATION object is used to associate LSPs with each other. In the context of end-to-end LSP recovery, the association MUST only identify LSPs that support the same Tunnel ID as well as the same tunnel sender address and tunnel endpoint address. The Association Type, Association Source, and Association ID fields of the object together uniquely identify an association. The object uses an object class number of the form 11bbbbbb to ensure compatibility with non-supporting nodes.

The ASSOCIATION object is used to associate LSPs with each other.

### 16.1. Format

The IPv4 ASSOCIATION object (Class-Num of the form 11bbbbbb with value = 199, C-Type = 1) has the format:

0										1										2										3									
0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1								
Length										Class-Num(199)										C-Type (1)																			
Association Type										Association ID																													
IPv4 Association Source																																							

The IPv6 ASSOCIATION object (Class-Num of the form 11bbbbbb with value = 199, C-Type = 2) has the format:

0										1										2										3									
0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1								
Length										Class-Num(199)										C-Type (2)																			
Association Type										Association ID																													
IPv6 Association Source																																							

#### Association Type: 16 bits

Indicates the type of association being identified. Note that this value is considered when determining association. The following are values defined in this document.

Value	Type
-----	----
0	Reserved
1	Recovery (R)

#### Association ID: 16 bits

A value assigned by the LSP head-end. When combined with the Association Type and Association Source, this value uniquely identifies an association.

#### Association Source: 4 or 16 bytes

An IPv4 or IPv6 address, respectively, that is associated to the node that originated the association.

### 16.2. Processing

In the end-to-end LSP recovery context, the ASSOCIATION object is used to associate a recovery LSP with the LSP(s) it is protecting or a protected LSP(s) with its recovery LSP. The object is carried in Path messages. More than one object MAY be carried in a single Path message.

Transit nodes MUST transmit, without modification, any received ASSOCIATION object in the corresponding outgoing Path message.

An ASSOCIATION object with an Association Type set to the value "Recovery" is used to identify an LSP-Recovery-related association. Any node associating a recovery LSP MUST insert an ASSOCIATION object with the following setting:

- The Association Type MUST be set to the value "Recovery" in the Path message of the recovery LSP.
- The (IPv4/IPv6) Association Source MUST be set to the tunnel sender address of the LSP being protected.

- The Association ID MUST be set to the LSP ID of the LSP being protected by this LSP or the LSP protecting this LSP. If unknown, this value is set to its own signaled LSP\_ID value (default). Also, the value of the Association ID MAY change during the lifetime of the LSP.

Terminating nodes use received ASSOCIATION object(s) with the Association Type set to the value "Recovery" to associate a recovery LSP with its matching working LSP. This information is used to bind the appropriate working and recovery LSPs together. Such nodes MUST ensure that the received Path messages, including ASSOCIATION object(s), are processed with the appropriate PROTECTION object settings, if present (see Section 14 for PROTECTION object processing). Otherwise, this node MUST return a PathErr message with the new error code/sub-code "LSP Admission Failure/Bad Association Type". Similarly, terminating nodes receiving a Path message with a

PROTECTION object requiring association between working and recovery LSPs MUST include an ASSOCIATION object. Otherwise, such nodes MUST return a PathErr message with the new error code/sub-code "Routing Problem/PROTECTION object not Applicable".

## 17. Updated RSVP Message Formats

This section presents the RSVP message-related formats as modified by this document. Unmodified RSVP message formats are not listed.

The format of a Path message is as follows:

```
<Path Message> ::= <Common Header> [ <INTEGRITY> ]
[ [ <MESSAGE_ID_ACK> | <MESSAGE_ID_NACK> ] ... ]
[ <MESSAGE_ID> ]
<SESSION> <RSVP_HOP>
<TIME_VALUES>
[ <EXPLICIT_ROUTE> ]
<LABEL_REQUEST>
[ <PROTECTION> ]
[ <LABEL_SET> ... ]
[ <SESSION_ATTRIBUTE> ]
[ <NOTIFY_REQUEST> ... ]
[ <ADMIN_STATUS> ]
[ <ASSOCIATION> ... ]
[ <PRIMARY_PATH_ROUTE> ... ]
[ <POLICY_DATA> ... ]
<sender descriptor>
```

The format of the <sender descriptor> for unidirectional and bidirectional LSPs is not modified by the present document.

The format of a Resv message is as follows:

```
<Resv Message> ::= <Common Header> [ <INTEGRITY> ]  
    [ [ <MESSAGE_ID_ACK> | <MESSAGE_ID_NACK> ] ... ]  
    [ <MESSAGE_ID> ]  
    <SESSION> <RSVP_HOP>  
    <TIME_VALUES>  
    [ <RESV_CONFIRM> ] [ <SCOPE> ]  
    [ <PROTECTION> ]  
    [ <NOTIFY_REQUEST> ]  
    [ <ADMIN_STATUS> ]  
    [ <POLICY_DATA> ... ]  
    <STYLE> <flow descriptor list>
```

<flow descriptor list> is not modified by this document.

## 18. Security Considerations

The security threats identified in [RFC4426] may be experienced due to the exchange of RSVP messages and information as detailed in this document. The following security mechanisms apply.

RSVP signaling MUST be able to provide authentication and integrity. Authentication is required to ensure that the signaling messages are originating from the right place and have not been modified in transit.

For this purpose, [RFC2747] provides the required RSVP message authentication and integrity for hop-by-hop RSVP message exchanges. For non hop-by-hop RSVP message exchanges the standard IPsec-based integrity and authentication can be used as explained in [RFC3473].

Moreover, this document makes use of the Notify message exchange. This precludes RSVP's hop-by-hop integrity and authentication model. In the case, when the same level of security provided by [RFC2747] is desired, the standard IPsec based integrity and authentication can be used as explained in [RFC3473].

To prevent the consequences of poorly applied protection and the increased risk of misconnection, in particular, when extra-traffic is involved, that would deliver the wrong traffic to the wrong destination, specific mechanisms have been put in place as described in Section 7.2, 8.3, and 10.



## 19. IANA Considerations

IANA assigns values to RSVP protocol parameters. Within the current document, a PROTECTION object (new C-Type), a PRIMARY\_PATH\_ROUTE object, and an ASSOCIATION object are defined. In addition, new Error code/sub-code values are defined in this document. Finally, registration of the ADMIN\_STATUS object bits is requested.

Two RSVP Class Numbers (Class-Num) and three Class Types (C-Types) values have to be defined by IANA in registry:

<http://www.iana.org/assignments/rsvp-parameters>

1) PROTECTION object (defined in Section 14.1)

o PROTECTION object: Class-Num = 37

- Type 2: C-Type = 2

2) PRIMARY\_PATH\_ROUTE object (defined in Section 15.1)

o PRIMARY\_PATH\_ROUTE object: Class-Num = 38 (of the form 0bbbbbbb),

- Primary Path Route: C-Type = 1

3) ASSOCIATION object (defined in Section 16.1)

o ASSOCIATION object: Class-Num = 199 (of the form 11bbbbbb)

- IPv4 Association: C-Type = 1

- IPv6 Association: C-Type = 2

o Association Type

The following values defined for the Association Type (16 bits) field of the ASSOCIATION object.

Value	Type
-----	----
0	Reserved
1	Recovery (R)

Assignment of values (from 2 to 65535) by IANA are subject to IETF expert review process, i.e., IETF Standards Track RFC Action.

#### 4) Error Code/Sub-code values

The following Error code/sub-code values are defined in this document:

Error Code = 01: "Admission Control Failure" (see [RFC2205])

- o "Admission Control Failure/LSP Admission Failure" (4)
- o "Admission Control Failure/Bad Association Type" (5)

Error Code = 02: "Policy Control Failure" (see [RFC2205])

- o "Policy Control failure/Hard Pre-empted" (20)

Error Code = 24: "Routing Problem" (see [RFC3209])

- o "Routing Problem/Unsupported LSP Protection" (17)
- o "Routing Problem/PROTECTION object not applicable" (18)
- o "Routing Problem/Bad PRIMARY\_PATH\_ROUTE object" (19)
- o "Routing Problem/PRIMARY\_PATH\_ROUTE object not applicable" (20)

Error Code = 25: "Notify Error" (see [RFC3209])

- o "Notify Error/LSP Failure" (9)
- o "Notify Error/LSP Recovered" (10)
- o "Notify Error/LSP Locally Failed" (11)

#### 5) Registration of the ADMIN\_STATUS object bits

The ADMIN\_STATUS object (Class-Num = 196, C-Type = 1) is defined in [RFC3473].

IANA is also requested to track the ADMIN\_STATUS bits extended by this document. For this purpose, the following new registry entries have been created:

<http://www.iana.org/assignments/gmpls-sig-parameters>

##### - ADMIN\_STATUS bits:

Name: ADMIN\_STATUS bits

Format: 32-bit vector of bits

Position:

- |         |   |
|---------|---|
| [0]     | Reflect (R) bit defined in [RFC3471]                        |
| [1..25] | To be assigned by IANA via IETF Standards Track RFC Action. |
| [26]    | Lockout (L) bit is defined in Section 13                    |
| [27]    | Inhibit alarm communication (I) in [RFC4783]                |

- [28] Call control (C) bit is defined in [GMPLS-CALL]
- [29] Testing (T) bit is defined in [RFC3471]
- [30] Administratively down (A) bit is defined in [RFC3471]
- [31] Deletion in progress (D) bit is defined in [RFC3471]

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## 22. Contributors

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