

Network Working Group  
Request for Comments: 2395  
Category: Informational

R. Friend  
R. Monsour  
Hi/fn, Inc.  
December 1998

## IP Payload Compression Using LZS

### Status of this Memo

This memo provides information for the Internet community. It does not specify an Internet standard of any kind. Distribution of this memo is unlimited.

### Copyright Notice

Copyright (C) The Internet Society (1998). All Rights Reserved.

### Abstract

This document describes a compression method based on the LZS compression algorithm. This document defines the application of the LZS algorithm to the IP Payload Compression Protocol [IPCOMP]. [IPCOMP] defines a method for applying lossless compression to the payloads of Internet Protocol datagrams.

### Table of Contents

1. Introduction.....	2
1.1 General.....	2
1.2 Background of LZS Compression.....	2
1.3 Licensing.....	3
1.4 Specification of Requirements.....	3
2. Compression Process.....	3
2.1 Compression History.....	3
2.2 Compression Encoding Format.....	3
2.3 Padding.....	4
3. Decompression Process.....	4
4. IPComp Association (IPCA) Parameters.....	4
4.1 ISAKMP Transform ID.....	5
4.2 ISAKMP Security Association Attributes.....	5
4.3 Manual configuration.....	5
4.4 Minimum packet size threshold.....	5
4.5 Compressibility test.....	5
5. Security Considerations.....	5
6. Acknowledgements.....	5
7. References.....	6
8. Authors' Addresses.....	7

9. Appendix: Compression Efficiency versus Datagram Size.....	8
10. Full Copyright Statement.....	9

## 1. Introduction

### 1.1 General

This document specifies the application of LZS compression, a lossless compression algorithm, to IP datagram payloads. This document is to be used in conjunction with the IP Payload Compression Protocol [IPCOMP]. This specification assumes a thorough understanding of the IPComp protocol.

### 1.2 Background of LZS Compression

Starting with a sliding window compression history, similar to [LZ1], Hi/fn developed a new, enhanced compression algorithm identified as LZS. The LZS algorithm is a general purpose lossless compression algorithm for use with a wide variety of data types. Its encoding method is very efficient, providing compression for strings as short as two octets in length.

The LZS algorithm uses a sliding window of 2,048 bytes. During compression, redundant sequences of data are replaced with tokens that represent those sequences. During decompression, the original sequences are substituted for the tokens in such a way that the original data is exactly recovered. LZS differs from lossy compression algorithms, such as those often used for video compression, that do not exactly reproduce the original data.

The details of LZS compression can be found in [ANSI94].

The efficiency of the LZS algorithm depends on the degree of redundancy in the original data. A table of compression ratios for the [Calgary] Corpus file set is provided in the appendix in Section 7.

### 1.3 Licensing

Hi/fn, Inc. holds patents on the LZS algorithm. Licenses for a reference implementation are available for use in IPComp, IPSec, TLS and PPP applications at no cost. Source and object licenses are available on a non-discriminatory basis. Hardware implementations are also available. For more information, contact Hi/fn at the address listed with the authors' addresses.

### 1.4 Specification of Requirements

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC-2119].

## 2. Compression Process

### 2.1 Compression History

The sender MUST reset the compression history prior to processing each datagram's payload. This ensures that each datagram's payload can be decompressed independently of any other, as is needed when datagrams are received out of order.

The sender MUST flush the compressor each time it transmits a compressed datagram. Flushing means that all data going into the compressor is included in the output, i.e., no data is held back in the hope of achieving better compression. Flushing is necessary to prevent a datagram's data from spilling over into a later datagram.

### 2.2 Compression Encoding Format

The input to the payload compression algorithm is an IP datagram payload. The output of the algorithm is a new (and hopefully smaller) payload. The output payload contains the input payload's data in either compressed or uncompressed format. The input and output payloads are each an integral number of bytes in length.

If the uncompressed form is used, the output payload is identical to the input payload and the IPComp header is omitted. If the compressed form is used, the output payload is prepended with the IPComp header and encoded as defined in [ANSI94], which is repeated here for informational purposes ONLY.

```
<Compressed Stream> := [<Compressed String>] <End Marker>
<Compressed String> := 0 <Raw Byte> | 1 <Compressed Bytes>
<Raw Byte> := <b><b><b><b><b><b><b> (8-bit byte)
<Compressed Bytes> := <Offset> <Length>
```

```

<Offset> := 1 <b><b><b><b><b><b> |           (7-bit offset)
           0 <b><b><b><b><b><b><b><b><b><b> (11-bit offset)
<End Marker> := 110000000

```

```

<b> := 1 | 0

```

```

<Length> :=
00          = 2      1111 0110      = 14
01          = 3      1111 0111      = 15
10          = 4      1111 1000      = 16
1100        = 5      1111 1001      = 17
1101        = 6      1111 1010      = 18
1110        = 7      1111 1011      = 19
1111 0000   = 8      1111 1100      = 20
1111 0001   = 9      1111 1101      = 21
1111 0010   = 10     1111 1110      = 22
1111 0011   = 11     1111 1111 0000 = 23
1111 0100   = 12     1111 1111 0001 = 24
1111 0101   = 13     ...

```

### 2.3 Padding

A datagram payload compressed using LZS always ends with the last compressed data byte (also known as the <end marker>), which is used to disambiguate padding. This allows trailing bits as well as bytes to be considered padding.

The size of a compressed payload MUST be in whole octet units.

### 3. Decompression Process

If the received datagram is compressed, the receiver MUST reset the decompression history prior to processing the datagram. This ensures that each datagram can be decompressed independently of any other, as is needed when datagrams are received out of order. Following the reset of the decompression history, the receiver decompresses the Payload Data field according to the encoding specified in section 3.2 of [ANSI94].

If the received datagram is not compressed, the receiver needs to perform no decompression processing and the Payload Data field of the datagram is ready for processing by the next protocol layer.

### 4. IPComp Association (IPCA) Parameters

ISAKMP MAY be used to negotiate the use of the LZS compression method to establish an IPCA, as defined in [IPCOMP].

#### 4.1 ISAKMP Transform ID

The LZS Transform ID as IPCOMP\_LZS, as specified in The Internet IP Security Domain of Interpretation [SECDOI]. This value is used to negotiate the LZS compression algorithm under the ISAKMP protocol.

#### 4.2 ISAKMP Security Association Attributes

There are no other parameters required for LZS compression negotiated under ISAKMP.

#### 4.3 Manual configuration

The CPI value IPCOMP\_LZS is used for a manually configured IPComp Compression Associations.

#### 4.4 Minimum packet size threshold

As stated in [IPCOMP], small packets may not compress well. Informal tests using the LZS algorithm over the Calgary Corpus data set show that the average payload size that may produce expanded data is approximately 90 bytes. Thus implementations may not want to attempt to compress payloads smaller than 90 bytes.

#### 4.5 Compressibility test

There is no adaptive algorithm embodied in the LZS algorithm, for compressibility testing, as referenced in [IPCOMP].

### 5. Security Considerations

This document does not add any further security considerations that [IPCOMP] and [Deutsch96] have already declared.

### 6. Acknowledgments

The LZS details presented here are similar to those in PPP LZS-DCP Compression Protocol (LZS-DCP), [RFC-1967].

The author wishes to thank the participants of the IPPCP working group mailing list whose discussion is currently active and is working to generate the protocol specification for integrating compression with IP.

## 7. References

- [AH] Kent, S., and R., Atkinson, "IP Authentication Header", RFC 2402, November 1998.
- [ANSI94] American National Standards Institute, Inc., "Data Compression Method for Information Systems," ANSI X3.241-1994, August 1994.
- [Calgary] Text Compression Corpus, University of Calgary, available at <ftp://ftp.cpsc.ucalgary.ca/pub/projects/text.compression.corpus>.
- [IPCOMP] Shacham, A., "IP Payload Compression Protocol (IPComp)", RFC 2393, December 1998.
- [LZ1] Lempel, A., and Ziv, J., "A Universal Algorithm for Sequential Data Compression", IEEE Transactions On Information Theory, Vol. IT-23, No. 3, May 1977.
- [RFC-1962] Rand, D., "The PPP Compression Control Protocol (CCP)", RFC 1962, June 1996.
- [RFC-1967] Schneider, K., and R. Friend, "PPP LZS-DCP Compression Protocol (LZS-DCP)", RFC 1967, August 1996.
- [RFC-2003] Perkins, C., "IP Encapsulation within IP", RFC 2003, October 1996.
- [RFC-2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997.
- [SECDOI] Piper, D., "The Internet IP Security Domain of Interpretation for ISAKMP", RFC 2407, November 1998.

## 8. Authors' Addresses

Robert Friend  
Hi/fn Inc.  
5973 Avenida Encinas  
Suite 110  
Carlsbad, CA 92008

EMail: rfrienderhifn.com

Robert Monsour  
Hi/fn Inc.  
2105 Hamilton Avenue  
Suite 230  
San Jose, CA 95125

EMail: rmonsour@hifn.com

## 9. Appendix: Compression Efficiency versus Datagram Size

The following table offers some guidance on the compression efficiency that can be achieved as a function of datagram size. Each entry in the table shows the compression ratio that was achieved when LZS was applied to a test file using datagrams of a specified size.

The test file was the University of Calgary Text Compression Corpus [Calgary]. The Calgary Corpus consists of 18 files with a total size (all files) of 3.278MB.

Datagram size, bytes	64	128	256	512	1024	2048	4096	8192	16384
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Compression ratio	1.18	1.28	1.43	1.58	1.74	1.91	2.04	2.11	2.14



## 10. Full Copyright Statement

Copyright (C) The Internet Society (1998). All Rights Reserved.

This document and translations of it may be copied and furnished to others, and derivative works that comment on or otherwise explain it or assist in its implementation may be prepared, copied, published and distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and this paragraph are included on all such copies and derivative works. However, this document itself may not be modified in any way, such as by removing the copyright notice or references to the Internet Society or other Internet organizations, except as needed for the purpose of developing Internet standards in which case the procedures for copyrights defined in the Internet Standards process must be followed, or as required to translate it into languages other than English.

The limited permissions granted above are perpetual and will not be revoked by the Internet Society or its successors or assigns.

This document and the information contained herein is provided on an "AS IS" basis and THE INTERNET SOCIETY AND THE INTERNET ENGINEERING TASK FORCE DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

