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Baseline Privacy Interface Management Information Base  
for DOCSIS Compliant Cable Modems and Cable Modem Termination Systems

Status of this Memo

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Abstract

This memo defines a portion of the Management Information Base (MIB) for use with network management protocols in the Internet community. In particular, it defines a basic set of managed objects for SNMP-based (Simple Network Management Protocol) management of the Baseline Privacy Interface (BPI), which provides data privacy for DOCSIS 1.0 (Data-Over-Cable Service Interface Specifications) compliant Cable Modems and Cable Modem Termination Systems. This MIB is defined as an extension to the DOCSIS Radio Frequency Interface MIB, RFC 2670.

This memo specifies a MIB module in a manner that is compliant to the SMIV2 (Structure of Management Information Version 2). The set of objects is consistent with the SNMP framework and existing SNMP standards.

CableLabs requires the implementation of this MIB in DOCSIS 1.0 cable modems that implement the Baseline Privacy Interface, as a prerequisite for DOCSIS 1.0 certification.

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## 1. The SNMP Management Framework

The SNMP Management Framework presently consists of five major components:

- o An overall architecture, described in RFC 2571 [1].
- o Mechanisms for describing and naming objects and events for the purpose of management. The first version of this Structure of Management Information (SMI) is called SMIV1 and described in STD 16, RFC 1155 [2], STD 16, RFC 1212 [3] and RFC 1215 [4]. The second version, called SMIV2, is described in STD 58, RFC 2578 [5], RFC 2579 [6] and RFC 2580 [7].
- o Message protocols for transferring management information. The first version of the SNMP message protocol is called SNMPv1 and described in STD 15, RFC 1157 [8]. A second version of the SNMP

message protocol, which is not an Internet standards track protocol, is called SNMPv2c and described in RFC 1901 [9] and RFC 1906 [10]. The third version of the message protocol is called SNMPv3 and described in RFC 1906 [10], RFC 2572 [11] and RFC 2574 [12].

- o Protocol operations for accessing management information. The first set of protocol operations and associated PDU formats is described in STD 15, RFC 1157 [8]. A second set of protocol operations and associated PDU formats is described in RFC 1905 [13].
- o A set of fundamental applications described in RFC 2573 [14] and the view-based access control mechanism described in RFC 2575 [15].

A more detailed introduction to the current SNMP Management Framework can be found in RFC 2570 [24].

Managed objects are accessed via a virtual information store, termed the Management Information Base or MIB. Objects in the MIB are defined using the mechanisms defined in the SMI.

This memo specifies a MIB module that is compliant to the SMIV2. A MIB conforming to the SMIV1 can be produced through the appropriate translations. The resulting translated MIB must be semantically equivalent, except where objects or events are omitted because no translation is possible (use of Counter64). Some machine readable information in SMIV2 will be converted into textual descriptions in SMIV1 during the translation process. However, this loss of machine readable information is not considered to change the semantics of the MIB.

## 2. Glossary

The terms in this document are derived either from normal cable system usage, or from the documents associated with the Data Over Cable Service Interface Specification process.

### 2.1. Authorization key

A key used to derive a key encryption key (used to encrypt TEKs), and to derive message authentication keys. When the CMTS communicates the authorization key to the CM, it encrypts the authorization key using the RSA public key of the CM [22].

## 2.2. BPI - Baseline Privacy Interface

A term referring to the DOCSIS specification [18] for enabling simple data privacy in the DOCSIS 1.0 system. Management of the BPI is the focus of this document.

## 2.3. BPI+ - Baseline Privacy Plus Interface

A term referring to the DOCSIS specification [21] for enabling CM authentication and data privacy in the DOCSIS 1.1 system. Management of the BPI+ is not addressed in this document.

## 2.4. CATV

Originally "Community Antenna Television", now used to refer to any cable or hybrid fiber and cable system used to deliver video signals to a community.

## 2.5. CM - Cable Modem

A CM acts as a "slave" station in a DOCSIS compliant cable data system.

## 2.6. CMTS - Cable Modem Termination System

A generic term covering a cable bridge or cable router in a head-end. A CMTS acts as the master station in a DOCSIS compliant cable data system. It is the only station that transmits downstream, and it controls the scheduling of upstream transmissions by its associated CMs.

## 2.7. DOCSIS

"Data-Over-Cable Service Interface Specifications". A term referring to the ITU-T J.112 Annex B standard for cable modem systems [19].

## 2.8. Downstream

The direction from the head-end towards the subscriber.

## 2.9. Head-end

The origination point in most cable systems of the subscriber video signals. Generally also the location of the CMTS equipment.

## 2.10. MAC Packet

A DOCSIS PDU.

### 2.11. MCNS

"Multimedia Cable Network System". Generally replaced in usage by DOCSIS.

### 2.12. RF

Radio Frequency.

### 2.13 SID

Service ID. The SID identifies a particular upstream bandwidth allocation and class-of-service management for DOCSIS, and identifies a particular bidirectional security association for BPI.

### 2.14. TEK - Traffic Encryption Key

Traffic Encryption Key, which is used for DES encryption of upstream and downstream traffic. When the CMTS communicates the TEK to the CM, it encrypts the TEK using the key encryption key derived from the authorization key.

### 2.15. Upstream

The direction from the subscriber towards the head-end.

## 3. Overview

This MIB provides a set of objects required for the management of the Baseline Privacy Interface for DOCSIS compliant Cable Modems (CMs) and Cable Modem Termination Systems (CMTSs). This MIB specification is derived from the DOCSIS Baseline Privacy Interface specification [18], which is an extension to the DOCSIS Radio Frequency Interface specification [19].

Please note that this MIB specification is not sufficient for the management of the DOCSIS Baseline Privacy Plus Interface specification [21]. The working group expects to issue a MIB for the management of BPI+ at a later time.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [23].

### 3.1. Structure of the MIB

This MIB consists of one group of CM-only objects (docsBpiCmGroup), and one group of CMTS-only objects (docsBpiCmtsGroup).

The CM-only objects are organized into two tables:

- o The docsBpiCmBaseTable contains objects for managing basic Baseline Privacy parameters and counters, and for managing the Authorization finite state machine.
- o The docsBpiCmTEKTable contains objects for managing the Traffic Encryption Key (TEK) finite state machine per SID.

The CMTS-only objects are organized into four sub-groups:

- o The docsBpiCmtsBaseTable contains objects for managing basic Baseline Privacy parameters and counters.
- o The docsBpiCmtsAuthTable contains objects for managing the Authorization association information per cable modem.
- o The docsBpiCmtsTEKTable contains objects for managing the TEK association information per SID.
- o The docsBpiMulticastControl consists of two tables. The docsBpiIpMulticastMapTable controls the mapping of downstream IP multicast data traffic to downstream multicast SID values. The docsBpiMulticastAuthTable controls which CMTSs are authorized to receive downstream traffic transmitted over particular multicast SIDs; a CM will receive TEKs corresponding to the multicast SIDs for which it is authorized. The combination of these two tables will limit the distribution of downstream IP multicast data traffic to authorized CMTSs.

### 3.2. Management requirements

The Baseline Privacy Interface specification is documented in [18], and is an extension to the Radio Frequency Interface specification documented in [19]. In addition to the explicit requirements in this specification, the CM and CMTS enabled for Baseline Privacy MUST support all applicable DOCSIS and IETF requirements and MIB objects. Specifications that identify relevant requirements and MIB objects include the IETF Radio Frequency MIB [16], the IETF Cable Device MIB [17], and the DOCSIS OSSI Specification [20].

The explicit management requirements of the Baseline Privacy Interface, which motivate the development of the MIB in this document, are detailed below:

- o The CM and CMTS MUST support viewing relevant RSA public keys, for future subscriber authentication applications.

- o The Baseline Privacy management interface needs to support operator configuration of Authorization and TEK Finite State Machine (FSM) parameters, for performance tuning and security incident handling. The CMTS MUST support viewing (and configuring if possible) all FSM-related parameters, including baseline privacy status (enabled or disabled), key lifetimes, key grace times, and state timeout values. The CM MUST support viewing these parameters where possible.
- o The management interface needs to support operator analysis and override of FSM behavior, for fault management, subscriber service de-provisioning, and security incident handling. The CM MUST support viewing the current FSM states. The CM and CMTS MUST support viewing message error codes and message error strings, and counters for invalid KEK and TEK events, for key expirations and renewals, and for duplicate messages. The CM and CMTS MUST support viewing current authorization key sequence numbers and key expiration times for failure diagnosis.
- o The management interface needs to support dynamic control of the distribution of IP multicast data traffic. This control includes forwarding IP multicast traffic to the correct multicast group (SID), and managing the membership lists of each multicast group (SID). The CMTS MUST support configuring and viewing all IP multicast forwarding state, and all multicast group memberships, within the MAC domains of the CMTS.

### 3.3. Textual convention

CableLabs has required the implementation of prior versions of this MIB in DOCSIS 1.0 cable modems that implement the Baseline Privacy Interface, as a prerequisite for DOCSIS 1.0 certification.

The Baseline Privacy Interface MIB contains eight MIB objects defined with the (now obsolete) DisplayString textual convention, and one MIB object defined with the (now undesirable) IPAddress textual convention.

In the judgment of the working group, it is preferable to keep these less-than-desirable textual conventions, in order to maintain backward compatibility and interoperability with DOCSIS 1.0 cable modems that implemented previous versions of this MIB.

#### 4. Definitions

DOCS-BPI-MIB DEFINITIONS ::= BEGIN

IMPORTS

MODULE-IDENTITY, OBJECT-TYPE,  
Integer32, Counter32, IPAddress

FROM SNMPv2-SMI

DisplayString, MacAddress, RowStatus, TruthValue, DateAndTime

FROM SNMPv2-TC

OBJECT-GROUP, MODULE-COMPLIANCE

FROM SNMPv2-CONF

ifIndex

FROM IF-MIB

docsIfMib, docsIfCmServiceId, docsIfCmtsServiceId

FROM DOCS-IF-MIB

;

docsBpiMIB           MODULE-IDENTITY

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DESCRIPTION

"This is the MIB Module for the DOCSIS Baseline Privacy Interface (BPI) at cable modems (CMs) and cable modem termination systems (CMTSS). CableLabs requires the implementation of this MIB in DOCSIS 1.0 cable modems that implement the Baseline Privacy Interface, as a prerequisite for DOCSIS 1.0 certification."

REVISION "200103130000Z"

DESCRIPTION

"Version published as RFC 3083."

REVISION "200011031930Z"

DESCRIPTION

"Modified by Richard Woundy to fix problems identified by the MIB



doctor. I marked docsBpiCmtsDefaultAuthGraceTime and docsBpiCmtsDefaultTEKGraceTime as obsolete objects, to prevent OID reassignment. Several object descriptions were also corrected."

REVISION "200002161930Z"

DESCRIPTION

"Initial version.

CableLabs requires the implementation of this MIB in certified DOCSIS 1.0 cable modems implementing the Baseline Privacy Interface, per DOCSIS 1.0 engineering change notice oss-n-99027."

::= { docsIfMib 5 }

docsBpiMIBObjects OBJECT IDENTIFIER ::= { docsBpiMIB 1 }

-- Cable Modem Group

docsBpiCmObjects OBJECT IDENTIFIER ::= { docsBpiMIBObjects 1 }

--

-- The BPI base and authorization table for CMs, indexed by ifIndex

--

docsBpiCmBaseTable	OBJECT-TYPE	
SYNTAX	SEQUENCE OF	DocsBpiCmBaseEntry
MAX-ACCESS	not-accessible	
STATUS	current	

DESCRIPTION

"This table describes the basic and authorization-related Baseline Privacy attributes of each CM MAC interface."

::= { docsBpiCmObjects 1 }

docsBpiCmBaseEntry	OBJECT-TYPE
SYNTAX	DocsBpiCmBaseEntry
MAX-ACCESS	not-accessible
STATUS	current

DESCRIPTION

"Each entry contains objects describing attributes of one CM MAC interface. An entry in this table exists for each ifEntry with an ifType of docsCableMaclayer(127)."

INDEX { ifIndex }

::= { docsBpiCmBaseTable 1 }

DocsBpiCmBaseEntry ::= SEQUENCE {	
docsBpiCmPrivacyEnable	TruthValue,
docsBpiCmPublicKey	OCTET STRING,
docsBpiCmAuthState	INTEGER,
docsBpiCmAuthKeySequenceNumber	Integer32,
docsBpiCmAuthExpires	DateAndTime,

```

docsBpiCmAuthReset          TruthValue,
docsBpiCmAuthGraceTime      Integer32,
docsBpiCmTEKGraceTime      Integer32,
docsBpiCmAuthWaitTimeout    Integer32,
docsBpiCmReauthWaitTimeout  Integer32,
docsBpiCmOpWaitTimeout      Integer32,
docsBpiCmRekeyWaitTimeout   Integer32,
docsBpiCmAuthRejectWaitTimeout Integer32,
docsBpiCmAuthRequests       Counter32,
docsBpiCmAuthReplies        Counter32,
docsBpiCmAuthRejects        Counter32,
docsBpiCmAuthInvalids       Counter32,
docsBpiCmAuthRejectErrorCode INTEGER,
docsBpiCmAuthRejectErrorString DisplayString,
docsBpiCmAuthInvalidErrorCode INTEGER,
docsBpiCmAuthInvalidErrorString DisplayString
}

```

```

docsBpiCmPrivacyEnable  OBJECT-TYPE
SYNTAX                  TruthValue
MAX-ACCESS              read-only
STATUS                  current
DESCRIPTION

```

"This object identifies whether this CM is provisioned to run Baseline Privacy. This is analogous to the presence (or absence) of the Baseline Privacy Configuration Setting option. The status of each individual SID with respect to Baseline Privacy is captured in the docsBpiCmTEKPrivacyEnable object."

#### REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Appendix A.1.1."  
::= { docsBpiCmBaseEntry 1 }

```

docsBpiCmPublicKey        OBJECT-TYPE
SYNTAX                    OCTET STRING (SIZE (74 | 106 | 140 | 270))
MAX-ACCESS                read-only
STATUS                    current
DESCRIPTION

```

"The value of this object is a DER-encoded RSAPublicKey ASN.1 type string, as defined in the RSA Encryption Standard (PKCS #1) [22], corresponding to the public key of the CM. The 74, 106, 140, and 270 byte key encoding lengths correspond to 512 bit, 768 bit, 1024 bit, and 2048 public moduli respectively."

#### REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.2.4."  
::= { docsBpiCmBaseEntry 2 }

```

docsBpiCmAuthState        OBJECT-TYPE
SYNTAX                    INTEGER {

```

```

                                authWait(2),
                                authorized(3),
                                reauthWait(4),
                                authRejectWait(5)
                                }
MAX-ACCESS                      read-only
STATUS                          current
DESCRIPTION
"The value of this object is the state of the CM authorization
FSM. The start state indicates that FSM is in its initial state."
REFERENCE
"DOCSIS Baseline Privacy Interface Specification, Section 4.1.2.1."
::= { docsBpiCmBaseEntry 3 }

docsBpiCmAuthKeySequenceNumber OBJECT-TYPE
SYNTAX                          Integer32 (0..15)
MAX-ACCESS                      read-only
STATUS                          current
DESCRIPTION
"The value of this object is the authorization key sequence number
for this FSM."
REFERENCE
"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.2
and 4.2.2.10."
::= { docsBpiCmBaseEntry 4 }

docsBpiCmAuthExpires           OBJECT-TYPE
SYNTAX                          DateAndTime
MAX-ACCESS                      read-only
STATUS                          current
DESCRIPTION
"The value of this object is the actual clock time when the current
authorization for this FSM expires. If the CM does not have an active
authorization, then the value is of the expiration date and time of
the last active authorization."
REFERENCE
"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.2
and 4.2.2.9."
::= { docsBpiCmBaseEntry 5 }

docsBpiCmAuthReset             OBJECT-TYPE
SYNTAX                          TruthValue
MAX-ACCESS                      read-write
STATUS                          current
DESCRIPTION
"Setting this object to TRUE generates a Reauthorize event in the
authorization FSM. Reading this object always returns FALSE."
REFERENCE
```

"DOCSIS Baseline Privacy Interface Specification, Section 4.1.2.3.4."  
::= { docsBpiCmBaseEntry 6 }

docsBpiCmAuthGraceTime    OBJECT-TYPE  
SYNTAX                    Integer32 (1..1800)  
UNITS                     "seconds"  
MAX-ACCESS                read-only  
STATUS                    current  
DESCRIPTION

"The value of this object is the grace time for an authorization key. A CM is expected to start trying to get a new authorization key beginning AuthGraceTime seconds before the authorization key actually expires."

REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Appendix A.1.1.1.3."  
::= { docsBpiCmBaseEntry 7 }

docsBpiCmTEKGraceTime    OBJECT-TYPE  
SYNTAX                    Integer32 (1..1800)  
UNITS                     "seconds"  
MAX-ACCESS                read-only  
STATUS                    current  
DESCRIPTION

"The value of this object is the grace time for a TEK. A CM is expected to start trying to get a new TEK beginning TEKGraceTime seconds before the TEK actually expires."

REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Appendix A.1.1.1.6."  
::= { docsBpiCmBaseEntry 8 }

docsBpiCmAuthWaitTimeout    OBJECT-TYPE  
SYNTAX                    Integer32 (1..30)  
UNITS                     "seconds"  
MAX-ACCESS                read-only  
STATUS                    current  
DESCRIPTION

"The value of this object is the Authorize Wait Timeout."

REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Appendix A.1.1.1.1."  
::= { docsBpiCmBaseEntry 9 }

docsBpiCmReauthWaitTimeout    OBJECT-TYPE  
SYNTAX                    Integer32 (1..30)  
UNITS                     "seconds"  
MAX-ACCESS                read-only  
STATUS                    current  
DESCRIPTION

"The value of this object is the Reauthorize Wait Timeout in seconds."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Appendix A.1.1.1.2."  
::= { docsBpiCmBaseEntry 10 }

docsBpiCmOpWaitTimeout OBJECT-TYPE  
SYNTAX Integer32 (1..10)  
UNITS "seconds"  
MAX-ACCESS read-only  
STATUS current

## DESCRIPTION

"The value of this object is the Operational Wait Timeout in seconds."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Appendix A.1.1.1.4."  
::= { docsBpiCmBaseEntry 11 }

docsBpiCmRekeyWaitTimeout OBJECT-TYPE  
SYNTAX Integer32 (1..10)  
UNITS "seconds"  
MAX-ACCESS read-only  
STATUS current

## DESCRIPTION

"The value of this object is the Rekey Wait Timeout in seconds."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Appendix A.1.1.1.5."  
::= { docsBpiCmBaseEntry 12 }

docsBpiCmAuthRejectWaitTimeout OBJECT-TYPE  
SYNTAX Integer32 (1..600)  
UNITS "seconds"  
MAX-ACCESS read-only  
STATUS current

## DESCRIPTION

"The value of this object is the Authorization Reject Wait Timeout in seconds."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Appendix A.1.1.1.7."  
::= { docsBpiCmBaseEntry 13 }

docsBpiCmAuthRequests OBJECT-TYPE  
SYNTAX Counter32  
MAX-ACCESS read-only  
STATUS current

## DESCRIPTION

"The value of this object is the count of times the CM has transmitted an Authorization Request message."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.1."  
::= { docsBpiCmBaseEntry 14 }

docsBpiCmAuthReplies      OBJECT-TYPE  
SYNTAX                    Counter32  
MAX-ACCESS                read-only  
STATUS                    current  
DESCRIPTION  
"The value of this object is the count of times the CM has  
received an Authorization Reply message."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.2."  
::= { docsBpiCmBaseEntry 15 }

docsBpiCmAuthRejects      OBJECT-TYPE  
SYNTAX                    Counter32  
MAX-ACCESS                read-only  
STATUS                    current  
DESCRIPTION  
"The value of this object is the count of times the CM has  
received an Authorization Reject message."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.3."  
::= { docsBpiCmBaseEntry 16 }

docsBpiCmAuthInvalids     OBJECT-TYPE  
SYNTAX                    Counter32  
MAX-ACCESS                read-only  
STATUS                    current  
DESCRIPTION  
"The value of this object is the count of times the CM has  
received an Authorization Invalid message."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.7."  
::= { docsBpiCmBaseEntry 17 }

docsBpiCmAuthRejectErrorCode      OBJECT-TYPE  
SYNTAX                    INTEGER {  
                             none(1),  
                             unknown(2),  
                             unauthorizedCm(3),  
                             unauthorizedSid(4)  
                             }  
MAX-ACCESS                read-only  
STATUS                    current  
DESCRIPTION  
"The value of this object is the enumerated description of the  
Error-Code in most recent Authorization Reject message received by  
the CM. This has value unknown(2) if the last Error-Code value was  
0, and none(1) if no Authorization Reject message has been received  
since reboot."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.3 and 4.2.2.16."

::= { docsBpiCmBaseEntry 18 }

docsBpiCmAuthRejectErrorString OBJECT-TYPE

SYNTAX DisplayString (SIZE (0..128))

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the Display-String in most recent Authorization Reject message received by the CM. This is a zero length string if no Authorization Reject message has been received since reboot."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.3 and 4.2.2.6."

::= { docsBpiCmBaseEntry 19 }

docsBpiCmAuthInvalidErrorCode OBJECT-TYPE

SYNTAX INTEGER {  
     none(1),  
     unknown(2),  
     unauthorizedCm(3),  
     unsolicited(5),  
     invalidKeySequence(6),  
     keyRequestAuthenticationFailure(7)  
 }

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the enumerated description of the Error-Code in most recent Authorization Invalid message received by the CM. This has value unknown(2) if the last Error-Code value was 0, and none(1) if no Authorization Invalid message has been received since reboot."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.7 and 4.2.2.16."

::= { docsBpiCmBaseEntry 20 }

docsBpiCmAuthInvalidErrorString OBJECT-TYPE

SYNTAX DisplayString (SIZE (0..128))

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the Display-String in most recent Authorization Invalid message received by the CM. This is a zero

length string if no Authorization Invalid message has been received since reboot."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.7 and 4.2.2.6."

```
::= { docsBpiCmBaseEntry 21 }
```

```
--
```

```
-- The CM TEK Table, indexed by ifIndex and SID
```

```
--
```

```
docsBpiCmTEKTable      OBJECT-TYPE
SYNTAX                 SEQUENCE OF DocsBpiCmTEKEntry
MAX-ACCESS             not-accessible
STATUS                 current
```

## DESCRIPTION

"This table describes the attributes of each CM Traffic Encryption Key (TEK) association. The CM maintains (no more than) one TEK association per SID per CM MAC interface."

```
::= { docsBpiCmObjects 2 }
```

```
docsBpiCmTEKEntry      OBJECT-TYPE
SYNTAX                 DocsBpiCmTEKEntry
MAX-ACCESS             not-accessible
STATUS                 current
```

## DESCRIPTION

"Each entry contains objects describing the TEK association attributes of one SID. The CM MUST create one entry per unicast SID, regardless of whether the SID was obtained from a Registration Response message, or from an Authorization Reply message."

```
INDEX                 { ifIndex, docsIfCmServiceId }
::= { docsBpiCmTEKTable 1 }
```

```
DocsBpiCmTEKEntry ::= SEQUENCE {
docsBpiCmTEKPrivacyEnable      TruthValue,
docsBpiCmTEKState              INTEGER,
docsBpiCmTEKExpiresOld        DateAndTime,
docsBpiCmTEKExpiresNew        DateAndTime,
docsBpiCmTEKKeyRequests       Counter32,
docsBpiCmTEKKeyReplies        Counter32,
docsBpiCmTEKKeyRejects        Counter32,
docsBpiCmTEKInvalids          Counter32,
docsBpiCmTEKAuthPends         Counter32,
docsBpiCmTEKKeyRejectErrorCode INTEGER,
docsBpiCmTEKKeyRejectErrorString DisplayString,
docsBpiCmTEKInvalidErrorCode  INTEGER,
docsBpiCmTEKInvalidErrorString DisplayString
}
```



docsBpiCmTEKPrivacyEnable      OBJECT-TYPE  
 SYNTAX                          TruthValue  
 MAX-ACCESS                      read-only  
 STATUS                          current  
 DESCRIPTION  
 "This object identifies whether this SID is provisioned to run  
 Baseline Privacy. This is analogous to enabling Baseline Privacy on  
 a provisioned SID using the Class-of-Service Privacy Enable option.  
 Baseline Privacy is not effectively enabled for any SID unless  
 Baseline Privacy is enabled for the CM, which is managed via the  
 docsBpiCmPrivacyEnable object."  
 REFERENCE  
 "DOCSIS Baseline Privacy Interface Specification, Appendix A.1.2."  
 ::= { docsBpiCmTEKEntry 1 }

docsBpiCmTEKState              OBJECT-TYPE  
 SYNTAX                          INTEGER {  
                                 start(1),  
                                 opWait(2),  
                                 opReauthWait(3),  
                                 operational(4),  
                                 rekeyWait(5),  
                                 rekeyReauthWait(6)  
                                 }  
 MAX-ACCESS                      read-only  
 STATUS                          current  
 DESCRIPTION  
 "The value of this object is the state of the indicated TEK FSM.  
 The start(1) state indicates that FSM is in its initial state."  
 REFERENCE  
 "DOCSIS Baseline Privacy Interface Specification, Section 4.1.3.1."  
 ::= { docsBpiCmTEKEntry 2 }

docsBpiCmTEKExpiresOld      OBJECT-TYPE  
 SYNTAX                          DateAndTime  
 MAX-ACCESS                      read-only  
 STATUS                          current  
 DESCRIPTION  
 "The value of this object is the actual clock time for expiration  
 of the immediate predecessor of the most recent TEK for this FSM.  
 If this FSM has only one TEK, then the value is the time of activation  
 of this FSM."  
 REFERENCE  
 "DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.5 and  
 4.2.2.9."  
 ::= { docsBpiCmTEKEntry 3 }

docsBpiCmTEKExpiresNew      OBJECT-TYPE

SYNTAX DateAndTime  
MAX-ACCESS read-only  
STATUS current  
DESCRIPTION  
"The value of this object is the actual clock time for expiration  
of the most recent TEK for this FSM."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.5 and  
4.2.2.9."  
::= { docsBpiCmTEKEntry 4 }

docsBpiCmTEKKeyRequests OBJECT-TYPE  
SYNTAX Counter32  
MAX-ACCESS read-only  
STATUS current  
DESCRIPTION  
"The value of this object is the count of times the CM has transmitted  
a Key Request message."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.4."  
::= { docsBpiCmTEKEntry 5 }

docsBpiCmTEKKeyReplies OBJECT-TYPE  
SYNTAX Counter32  
MAX-ACCESS read-only  
STATUS current  
DESCRIPTION  
"The value of this object is the count of times the CM has received  
a Key Reply message, including a message whose authentication failed."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.5."  
::= { docsBpiCmTEKEntry 6 }

docsBpiCmTEKKeyRejects OBJECT-TYPE  
SYNTAX Counter32  
MAX-ACCESS read-only  
STATUS current  
DESCRIPTION  
"The value of this object is the count of times the CM has received  
a Key Reject message, including a message whose authentication failed."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.6."  
::= { docsBpiCmTEKEntry 7 }

docsBpiCmTEKInvalids OBJECT-TYPE  
SYNTAX Counter32  
MAX-ACCESS read-only  
STATUS current

## DESCRIPTION

"The value of this object is the count of times the CM has received a TEK Invalid message, including a message whose authentication failed."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.8."

::= { docsBpiCmTEKEntry 8 }

docsBpiCmTEKAuthPends OBJECT-TYPE

SYNTAX Counter32

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the count of times an Authorization Pending (Auth Pend) event occurred in this FSM."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.1.3.3.3."

::= { docsBpiCmTEKEntry 9 }

docsBpiCmTEKKeyRejectErrorCode OBJECT-TYPE

SYNTAX INTEGER {  
                   none(1),  
                   unknown(2),  
                   unauthorizedSid(4)  
                   }

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the enumerated description of the Error-Code in most recent Key Reject message received by the CM. This has value unknown(2) if the last Error-Code value was 0, and none(1) if no Key Reject message has been received since reboot."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.1.2.6 and 4.2.2.16."

::= { docsBpiCmTEKEntry 10 }

docsBpiCmTEKKeyRejectErrorString OBJECT-TYPE

SYNTAX DisplayString (SIZE (0..128))

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the Display-String in most recent Key Reject message received by the CM. This is a zero length string if no Key Reject message has been received since reboot."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.1.2.6 and 4.2.2.6."

::= { docsBpiCmTEKEntry 11 }

```

docsBpiCmTEKInvalidErrorCode    OBJECT-TYPE
SYNTAX                          INTEGER {
                                none(1),
                                unknown(2),
                                invalidKeySequence(6)
                                }
MAX-ACCESS                      read-only
STATUS                          current
DESCRIPTION
"The value of this object is the enumerated description of the
Error-Code in most recent TEK Invalid message received by the CM.
This has value unknown(2) if the last Error-Code value was 0, and
none(1) if no TEK Invalid message has been received since reboot."
REFERENCE
"DOCSIS Baseline Privacy Interface Specification, Sections 4.1.2.8
and 4.2.2.16."
 ::= { docsBpiCmTEKEntry 12 }

docsBpiCmTEKInvalidErrorString  OBJECT-TYPE
SYNTAX                          DisplayString (SIZE (0..128))
MAX-ACCESS                      read-only
STATUS                          current
DESCRIPTION
"The value of this object is the Display-String in most recent TEK
Invalid message received by the CM. This is a zero length string if
no TEK Invalid message has been received since reboot."
REFERENCE
"DOCSIS Baseline Privacy Interface Specification, Sections 4.1.2.8
and 4.2.2.6."
 ::= { docsBpiCmTEKEntry 13 }

-- Cable Modem Termination System Group

docsBpiCmtsObjects OBJECT IDENTIFIER ::= { docsBpiMIBObjects 2 }

--
-- The BPI base table for CMTSSs, indexed by ifIndex
--

docsBpiCmtsBaseTable    OBJECT-TYPE
SYNTAX                  SEQUENCE OF      DocsBpiCmtsBaseEntry
MAX-ACCESS              not-accessible
STATUS                  current
DESCRIPTION
"This table describes the basic Baseline Privacy attributes of each
CMTS MAC interface."
 ::= { docsBpiCmtsObjects 1 }

```

```
docsBpiCmtsBaseEntry      OBJECT-TYPE
SYNTAX                    DocsBpiCmtsBaseEntry
MAX-ACCESS                not-accessible
STATUS                    current
DESCRIPTION
  "Each entry contains objects describing attributes of one CMTS MAC
  interface. An entry in this table exists for each ifEntry with an
  ifType of docsCableMaclayer(127)."
```

INDEX { ifIndex }

```
::= { docsBpiCmtsBaseTable 1 }
```

```
DocsBpiCmtsBaseEntry ::= SEQUENCE {
docsBpiCmtsDefaultAuthLifetime Integer32,
docsBpiCmtsDefaultTEKLifetime Integer32,
docsBpiCmtsDefaultAuthGraceTime Integer32,
docsBpiCmtsDefaultTEKGraceTime Integer32,
docsBpiCmtsAuthRequests Counter32,
docsBpiCmtsAuthReplies Counter32,
docsBpiCmtsAuthRejects Counter32,
docsBpiCmtsAuthInvalids Counter32
}
```

```
docsBpiCmtsDefaultAuthLifetime OBJECT-TYPE
SYNTAX Integer32 (1..6048000)
UNITS "seconds"
MAX-ACCESS read-write
STATUS current
DESCRIPTION
  "The value of this object is the default lifetime, in seconds, the
  CMTS assigns to a new authorization key."
```

REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Appendix A.2."

```
::= { docsBpiCmtsBaseEntry 1 }
```

```
docsBpiCmtsDefaultTEKLifetime OBJECT-TYPE
SYNTAX Integer32 (1..604800)
UNITS "seconds"
MAX-ACCESS read-write
STATUS current
DESCRIPTION
  "The value of this object is the default lifetime, in seconds, the
  CMTS assigns to a new Traffic Encryption Key (TEK)."
```

REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Appendix A.2."

```
::= { docsBpiCmtsBaseEntry 2 }
```

-- Note: the following two objects have been obsoleted from this MIB.

docsBpiCmtsDefaultAuthGraceTime OBJECT-TYPE  
SYNTAX Integer32 (1..1800)  
UNITS "seconds"  
MAX-ACCESS read-write  
STATUS obsolete  
DESCRIPTION  
"This object was obsoleted because the provisioning system, not the CMTS, manages the authorization key grace time for DOCSIS CMs."  
::= { docsBpiCmtsBaseEntry 3 }

docsBpiCmtsDefaultTEKGraceTime OBJECT-TYPE  
SYNTAX Integer32 (1..1800)  
UNITS "seconds"  
MAX-ACCESS read-write  
STATUS obsolete  
DESCRIPTION  
"This object was obsoleted because the provisioning system, not the CMTS, manages the Traffic Encryption Key (TEK) grace time for DOCSIS CMs."  
::= { docsBpiCmtsBaseEntry 4 }

docsBpiCmtsAuthRequests OBJECT-TYPE  
SYNTAX Counter32  
MAX-ACCESS read-only  
STATUS current  
DESCRIPTION  
"The value of this object is the count of times the CMTS has received an Authorization Request message from any CM."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.1."  
::= { docsBpiCmtsBaseEntry 5 }

docsBpiCmtsAuthReplies OBJECT-TYPE  
SYNTAX Counter32  
MAX-ACCESS read-only  
STATUS current  
DESCRIPTION  
"The value of this object is the count of times the CMTS has transmitted an Authorization Reply message to any CM."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.2."  
::= { docsBpiCmtsBaseEntry 6 }

docsBpiCmtsAuthRejects OBJECT-TYPE  
SYNTAX Counter32  
MAX-ACCESS read-only  
STATUS current  
DESCRIPTION  
"The value of this object is the count of times the CMTS has

transmitted an Authorization Reject message to any CM."

#### REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.3."

::= { docsBpiCmtsBaseEntry 7 }

docsBpiCmtsAuthInvalids OBJECT-TYPE

SYNTAX Counter32

MAX-ACCESS read-only

STATUS current

#### DESCRIPTION

"The value of this object is the count of times the CMTS has transmitted an Authorization Invalid message to any CM."

#### REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.7."

::= { docsBpiCmtsBaseEntry 8 }

--

-- The CMTS Authorization Table, indexed by ifIndex and CM MAC address

--

docsBpiCmtsAuthTable OBJECT-TYPE

SYNTAX SEQUENCE OF DocsBpiCmtsAuthEntry

MAX-ACCESS not-accessible

STATUS current

#### DESCRIPTION

"This table describes the attributes of each CM authorization association. The CMTS maintains one authorization association with each Baseline Privacy-enabled CM on each CMTS MAC interface."

::= { docsBpiCmtsObjects 2 }

docsBpiCmtsAuthEntry OBJECT-TYPE

SYNTAX DocsBpiCmtsAuthEntry

MAX-ACCESS not-accessible

STATUS current

#### DESCRIPTION

"Each entry contains objects describing attributes of one authorization association. The CMTS MUST create one entry per CM per MAC interface, based on the receipt of an Authorization Request message, and MUST not delete the entry before the CM authorization permanently expires."

INDEX { ifIndex, docsBpiCmtsAuthCmMacAddress }

::= { docsBpiCmtsAuthTable 1 }

DocsBpiCmtsAuthEntry ::= SEQUENCE {

docsBpiCmtsAuthCmMacAddress	MacAddress,
docsBpiCmtsAuthCmPublicKey	OCTET STRING,
docsBpiCmtsAuthCmKeySequenceNumber	Integer32,
docsBpiCmtsAuthCmExpires	DateAndTime,

```
docsBpiCmtsAuthCmLifetime          Integer32,
docsBpiCmtsAuthCmGraceTime         Integer32,
docsBpiCmtsAuthCmReset             INTEGER,
docsBpiCmtsAuthCmRequests          Counter32,
docsBpiCmtsAuthCmReplies           Counter32,
docsBpiCmtsAuthCmRejects           Counter32,
docsBpiCmtsAuthCmInvalids          Counter32,
docsBpiCmtsAuthRejectErrorCode     INTEGER,
docsBpiCmtsAuthRejectErrorString   DisplayString,
docsBpiCmtsAuthInvalidErrorCode    INTEGER,
docsBpiCmtsAuthInvalidErrorString  DisplayString
}
```

```
docsBpiCmtsAuthCmMacAddress        OBJECT-TYPE
SYNTAX                             MacAddress
MAX-ACCESS                         not-accessible
STATUS                             current
```

## DESCRIPTION

"The value of this object is the physical address of the CM to which the authorization association applies."

```
::= { docsBpiCmtsAuthEntry 1 }
```

```
docsBpiCmtsAuthCmPublicKey         OBJECT-TYPE
SYNTAX                             OCTET STRING
                                   (SIZE (0 | 74 | 106 | 140 | 270))
MAX-ACCESS                         read-only
STATUS                             current
```

## DESCRIPTION

"The value of this object is a DER-encoded RSAPublicKey ASN.1 type string, as defined in the RSA Encryption Standard (PKCS #1) [22], corresponding to the public key of the CM. The 74, 106, 140, and 270 byte key encoding lengths correspond to 512 bit, 768 bit, 1024 bit, and 2048 public moduli respectively. This is a zero-length string if the CMTS does not retain the public key."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.2.4."

```
::= { docsBpiCmtsAuthEntry 2 }
```

```
docsBpiCmtsAuthCmKeySequenceNumber OBJECT-TYPE
SYNTAX                             Integer32 (0..15)
MAX-ACCESS                         read-only
STATUS                             current
```

## DESCRIPTION

"The value of this object is the authorization key sequence number for this CM."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.2 and 4.2.2.10."



```
::= { docsBpiCmtsAuthEntry 3 }
```

```
docsBpiCmtsAuthCmExpires      OBJECT-TYPE
```

```
SYNTAX                        DateAndTime
```

```
MAX-ACCESS                    read-only
```

```
STATUS                         current
```

```
DESCRIPTION
```

"The value of this object is the actual clock time when the current authorization for this CM expires. If this CM does not have an active authorization, then the value is of the expiration date and time of the last active authorization."

```
REFERENCE
```

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.2 and 4.2.2.9."

```
::= { docsBpiCmtsAuthEntry 4 }
```

```
docsBpiCmtsAuthCmLifetime     OBJECT-TYPE
```

```
SYNTAX                        Integer32 (1..6048000)
```

```
UNITS                          "seconds"
```

```
MAX-ACCESS                    read-write
```

```
STATUS                         current
```

```
DESCRIPTION
```

"The value of this object is the lifetime, in seconds, the CMTS assigns to an authorization key for this CM."

```
REFERENCE
```

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.2 and Appendix A.2."

```
::= { docsBpiCmtsAuthEntry 5 }
```

```
docsBpiCmtsAuthCmGraceTime    OBJECT-TYPE
```

```
SYNTAX                        Integer32 (1..1800)
```

```
UNITS                          "seconds"
```

```
MAX-ACCESS                    read-only
```

```
STATUS                         current
```

```
DESCRIPTION
```

"The value of this object is the grace time for the authorization key in seconds. The CM is expected to start trying to get a new authorization key beginning AuthGraceTime seconds before the authorization key actually expires."

```
REFERENCE
```

"DOCSIS Baseline Privacy Interface Specification, Appendix A.1.1.1.3."

```
::= { docsBpiCmtsAuthEntry 6 }
```

```
docsBpiCmtsAuthCmReset        OBJECT-TYPE
```

```
SYNTAX                        INTEGER {
                                noResetRequested(1),
                                invalidateAuth(2),
                                sendAuthInvalid(3),
```

```

                                invalidateTeks(4)
                                }
MAX-ACCESS                      read-write
STATUS                          current
DESCRIPTION
  "Setting this object to invalidateAuth(2) causes the CMTS to
  invalidate the current CM authorization key, but not to transmit an
  Authorization Invalid message nor to invalidate unicast TEKs. Setting
  this object to sendAuthInvalid(3) causes the CMTS to invalidate the
  current CM authorization key, and to transmit an Authorization Invalid
  message to the CM, but not to invalidate unicast TEKs. Setting this
  object to invalidateTeks(4) causes the CMTS to invalidate the current
  CM authorization key, to transmit an Authorization Invalid message to
  the CM, and to invalidate all unicast TEKs associated with this CM
  authorization. Reading this object returns the most-recently-set value
  of this object, or returns noResetRequested(1) if the object has not
  been set since the last CMTS reboot."
REFERENCE
  "DOCSIS Baseline Privacy Interface Specification, Sections 4.1.2.3.4,
  4.1.2.3.5, and 4.1.3.3.5."
 ::= { docsBpiCmtsAuthEntry 7 }

docsBpiCmtsAuthCmRequests      OBJECT-TYPE
SYNTAX                          Counter32
MAX-ACCESS                      read-only
STATUS                          current
DESCRIPTION
  "The value of this object is the count of times the CMTS has
  received an Authorization Request message from this CM."
REFERENCE
  "DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.1."
 ::= { docsBpiCmtsAuthEntry 8 }

docsBpiCmtsAuthCmReplies       OBJECT-TYPE
SYNTAX                          Counter32
MAX-ACCESS                      read-only
STATUS                          current
DESCRIPTION
  "The value of this object is the count of times the CMTS has
  transmitted an Authorization Reply message to this CM."
REFERENCE
  "DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.2."
 ::= { docsBpiCmtsAuthEntry 9 }

docsBpiCmtsAuthCmRejects       OBJECT-TYPE
SYNTAX                          Counter32
MAX-ACCESS                      read-only
STATUS                          current

```

## DESCRIPTION

"The value of this object is the count of times the CMTS has transmitted an Authorization Reject message to this CM."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.3."

::= { docsBpiCmtsAuthEntry 10 }

docsBpiCmtsAuthCmInvalids OBJECT-TYPE

SYNTAX Counter32

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the count of times the CMTS has transmitted an Authorization Invalid message to this CM."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.7."

::= { docsBpiCmtsAuthEntry 11 }

docsBpiCmtsAuthRejectErrorCode OBJECT-TYPE

SYNTAX INTEGER {  
     none(1),  
     unknown(2),  
     unauthorizedCm(3),  
     unauthorizedSid(4)  
 }

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the enumerated description of the Error-Code in most recent Authorization Reject message transmitted to the CM. This has value unknown(2) if the last Error-Code value was 0, and none(1) if no Authorization Reject message has been transmitted to the CM."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.3 and 4.2.2.16."

::= { docsBpiCmtsAuthEntry 12 }

docsBpiCmtsAuthRejectErrorString OBJECT-TYPE

SYNTAX DisplayString (SIZE (0..128))

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the Display-String in most recent Authorization Reject message transmitted to the CM. This is a zero length string if no Authorization Reject message has been transmitted to the CM."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.3 and 4.2.2.6."

::= { docsBpiCmtsAuthEntry 13 }

docsBpiCmtsAuthInvalidErrorCode OBJECT-TYPE

SYNTAX INTEGER {  
     none(1),  
     unknown(2),  
     unauthorizedCm(3),  
     unsolicited(5),  
     invalidKeySequence(6),  
     keyRequestAuthenticationFailure(7)  
 }

MAX-ACCESS read-only

STATUS current

DESCRIPTION

"The value of this object is the enumerated description of the Error-Code in most recent Authorization Invalid message transmitted to the CM. This has value unknown(2) if the last Error-Code value was 0, and none(1) if no Authorization Invalid message has been transmitted to the CM."

REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.7 and 4.2.2.16."

::= { docsBpiCmtsAuthEntry 14 }

docsBpiCmtsAuthInvalidErrorString OBJECT-TYPE

SYNTAX DisplayString (SIZE (0..128))  
 MAX-ACCESS read-only  
 STATUS current

DESCRIPTION

"The value of this object is the Display-String in most recent Authorization Invalid message transmitted to the CM. This is a zero length string if no Authorization Invalid message has been transmitted to the CM."

REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.7 and 4.2.2.6."

::= { docsBpiCmtsAuthEntry 15 }

--

-- The CMTS TEK Table, indexed by ifIndex and SID

--

docsBpiCmtsTEKTable

OBJECT-TYPE

SYNTAX SEQUENCE OF DocsBpiCmtsTEKEntry

MAX-ACCESS not-accessible

STATUS current

## DESCRIPTION

"This table describes the attributes of each CM Traffic Encryption Key (TEK) association. The CMTS maintains one TEK association per BPI SID on each CMTS MAC interface."

```
::= { docsBpiCmtsObjects 3 }
```

```
docsBpiCmtsTEKEntry    OBJECT-TYPE
SYNTAX                 DocsBpiCmtsTEKEntry
MAX-ACCESS             not-accessible
STATUS                 current
```

## DESCRIPTION

"Each entry contains objects describing attributes of one TEK association on a particular CMTS MAC interface. The CMTS MUST create one entry per SID per MAC interface, based on the receipt of an Key Request message, and MUST not delete the entry before the CM authorization for the SID permanently expires."

```
INDEX                 { ifIndex, docsIfCmtsServiceId }
::= { docsBpiCmtsTEKTable 1 }
```

```
DocsBpiCmtsTEKEntry ::= SEQUENCE {
docsBpiCmtsTEKLifetime      Integer32,
docsBpiCmtsTEKGraceTime    Integer32,
docsBpiCmtsTEKExpiresOld   DateAndTime,
docsBpiCmtsTEKExpiresNew   DateAndTime,
docsBpiCmtsTEKReset        TruthValue,
docsBpiCmtsKeyRequests     Counter32,
docsBpiCmtsKeyReplies      Counter32,
docsBpiCmtsKeyRejects      Counter32,
docsBpiCmtsTEKInvalids     Counter32,
docsBpiCmtsKeyRejectErrorCode INTEGER,
docsBpiCmtsKeyRejectErrorString DisplayString,
docsBpiCmtsTEKInvalidErrorCode INTEGER,
docsBpiCmtsTEKInvalidErrorString DisplayString
}
```

```
docsBpiCmtsTEKLifetime  OBJECT-TYPE
SYNTAX                 Integer32 (1..604800)
UNITS                 "seconds"
MAX-ACCESS             read-write
STATUS                 current
```

## DESCRIPTION

"The value of this object is the lifetime, in seconds, the CMTS assigns to keys for this TEK association."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.5 and Appendix A.2."

```
::= { docsBpiCmtsTEKEntry 1 }
```

docsBpiCmtsTEKGraceTime OBJECT-TYPE  
SYNTAX Integer32 (1..1800)  
UNITS "seconds"  
MAX-ACCESS read-only  
STATUS current  
DESCRIPTION  
"The value of this object is the grace time for the TEK in seconds.  
The CM is expected to start trying to get a new TEK beginning  
TEKGraceTime seconds before the TEK actually expires."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Appendix A.1.1.1.6."  
::= { docsBpiCmtsTEKEntry 2 }

docsBpiCmtsTEKExpiresOld OBJECT-TYPE  
SYNTAX DateAndTime  
MAX-ACCESS read-only  
STATUS current  
DESCRIPTION  
"The value of this object is the actual clock time for expiration  
of the immediate predecessor of the most recent TEK for this FSM.  
If this FSM has only one TEK, then the value is the time of activation  
of this FSM."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.5  
and 4.2.2.9."  
::= { docsBpiCmtsTEKEntry 3 }

docsBpiCmtsTEKExpiresNew OBJECT-TYPE  
SYNTAX DateAndTime  
MAX-ACCESS read-only  
STATUS current  
DESCRIPTION  
"The value of this object is the actual clock time for expiration  
of the most recent TEK for this FSM."  
REFERENCE  
"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.5  
and 4.2.2.9."  
::= { docsBpiCmtsTEKEntry 4 }

docsBpiCmtsTEKReset OBJECT-TYPE  
SYNTAX TruthValue  
MAX-ACCESS read-write  
STATUS current  
DESCRIPTION  
"Setting this object to TRUE causes the CMTS to invalidate the current  
active TEK(s) (plural due to key transition periods), and to generate  
a new TEK for the associated SID; the CMTS MAY also generate an  
unsolicited TEK Invalid message, to optimize the TEK synchronization

between the CMTS and the CM. Reading this object always returns FALSE."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.1.3.3.5."  
::= { docsBpiCmtsTEKEntry 5 }

docsBpiCmtsKeyRequests OBJECT-TYPE

SYNTAX Counter32

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the count of times the CMTS has received a Key Request message."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.4."  
::= { docsBpiCmtsTEKEntry 6 }

docsBpiCmtsKeyReplies OBJECT-TYPE

SYNTAX Counter32

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the count of times the CMTS has transmitted a Key Reply message."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.5."  
::= { docsBpiCmtsTEKEntry 7 }

docsBpiCmtsKeyRejects OBJECT-TYPE

SYNTAX Counter32

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the count of times the CMTS has transmitted a Key Reject message."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.6."  
::= { docsBpiCmtsTEKEntry 8 }

docsBpiCmtsTEKInvalids OBJECT-TYPE

SYNTAX Counter32

MAX-ACCESS read-only

STATUS current

## DESCRIPTION

"The value of this object is the count of times the CMTS has transmitted a TEK Invalid message."

## REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Section 4.2.1.8."

```
::= { docsBpiCmtsTEKEntry 9 }
```

```
docsBpiCmtsKeyRejectErrorCode OBJECT-TYPE
SYNTAX          INTEGER {
                                none(1),
                                unknown(2),
                                unauthorizedSid(4)
                            }
MAX-ACCESS      read-only
STATUS          current
DESCRIPTION
```

"The value of this object is the enumerated description of the Error-Code in the most recent Key Reject message sent in response to a Key Request for this BPI SID. This has value unknown(2) if the last Error-Code value was 0, and none(1) if no Key Reject message has been received since reboot."

REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.6 and 4.2.2.16."

```
::= { docsBpiCmtsTEKEntry 10 }
```

```
docsBpiCmtsKeyRejectErrorString OBJECT-TYPE
SYNTAX          DisplayString (SIZE (0..128))
MAX-ACCESS      read-only
STATUS          current
DESCRIPTION
```

"The value of this object is the Display-String in the most recent Key Reject message sent in response to a Key Request for this BPI SID. This is a zero length string if no Key Reject message has been received since reboot."

REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.6 and 4.2.2.6."

```
::= { docsBpiCmtsTEKEntry 11 }
```

```
docsBpiCmtsTEKInvalidErrorCode OBJECT-TYPE
SYNTAX          INTEGER {
                                none(1),
                                unknown(2),
                                invalidKeySequence(6)
                            }
MAX-ACCESS      read-only
STATUS          current
DESCRIPTION
```

"The value of this object is the enumerated description of the Error-Code in the most recent TEK Invalid message sent in association with this BPI SID. This has value unknown(2) if the last Error-Code value was 0, and none(1) if no TEK Invalid message has been received



since reboot."

#### REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.8 and 4.2.2.16."

```
::= { docsBpiCmtsTEKEntry 12 }
```

docsBpiCmtsTEKInvalidErrorString

OBJECT-TYPE

SYNTAX

DisplayString (SIZE (0..128))

MAX-ACCESS

read-only

STATUS

current

#### DESCRIPTION

"The value of this object is the Display-String in the most recent TEK Invalid message sent in association with this BPI SID. This is a zero length string if no TEK Invalid message has been received since reboot."

#### REFERENCE

"DOCSIS Baseline Privacy Interface Specification, Sections 4.2.1.8 and 4.2.2.6."

```
::= { docsBpiCmtsTEKEntry 13 }
```

--

-- The CMTS Multicast Control Group

--

```
docsBpiMulticastControl OBJECT IDENTIFIER ::= { docsBpiCmtsObjects 4 }
```

--

-- The CMTS IP Multicast Mapping Table, indexed by IP multicast

-- address and prefix, and by ifindex

--

docsBpiIpMulticastMapTable

OBJECT-TYPE

SYNTAX

SEQUENCE OF DocsBpiIpMulticastMapEntry

MAX-ACCESS

not-accessible

STATUS

current

#### DESCRIPTION

"This table describes the mapping of IP multicast address prefixes to multicast SIDs on each CMTS MAC interface."

```
::= { docsBpiMulticastControl 1 }
```

docsBpiIpMulticastMapEntry

OBJECT-TYPE

SYNTAX

DocsBpiIpMulticastMapEntry

MAX-ACCESS

not-accessible

STATUS

current

#### DESCRIPTION

"Each entry contains objects describing the mapping of one IP multicast address prefix to one multicast SID on one CMTS MAC interface. The CMTS uses the mapping when forwarding downstream IP multicast traffic."

```

INDEX                { ifIndex, docsBpiIpMulticastAddress,
                        docsBpiIpMulticastPrefixLength }
 ::= { docsBpiIpMulticastMapTable 1 }

```

```

DocsBpiIpMulticastMapEntry ::= SEQUENCE {
docsBpiIpMulticastAddress      IPAddress,
docsBpiIpMulticastPrefixLength Integer32,
docsBpiIpMulticastServiceId   Integer32,
docsBpiIpMulticastMapControl   RowStatus
}

```

```

docsBpiIpMulticastAddress      OBJECT-TYPE
SYNTAX                          IPAddress
MAX-ACCESS                      not-accessible
STATUS                          current
DESCRIPTION

```

"This object represents the IP multicast address (prefix) to be mapped by this row, in conjunction with docsBpiIpMulticastPrefixLength."

```
 ::= { docsBpiIpMulticastMapEntry 1 }
```

```

docsBpiIpMulticastPrefixLength OBJECT-TYPE
SYNTAX                          Integer32 (0..32)
MAX-ACCESS                      not-accessible
STATUS                          current
DESCRIPTION

```

"This object represents the IP multicast address prefix length for this row. The value of this object represents the length in bits of docsBpiIpMulticastAddress for multicast address comparisons, using big-endian ordering. An IP multicast address matches this row if the (docsBpiIpMulticastPrefixLength) most significant bits of the IP multicast address and of the (docsBpiIpMulticastAddress) are identical. This object is similar in usage to an IP address mask. The value 0 corresponds to IP address mask 0.0.0.0, the value 1 corresponds to IP address mask 128.0.0.0, the value 8 corresponds to IP address mask 255.0.0.0, and the value 32 corresponds to IP address mask 255.255.255.255."

```
 ::= { docsBpiIpMulticastMapEntry 2 }
```

```

docsBpiIpMulticastServiceId    OBJECT-TYPE
SYNTAX                          Integer32 (8192..16368)
MAX-ACCESS                      read-create
STATUS                          current
DESCRIPTION

```

"This object represents the multicast SID to be used in this IP multicast address prefix mapping entry."  
 -- DEFVAL is an unused multicast SID value chosen by CMTS.

```

 ::= { docsBpiIpMulticastMapEntry 3 }

docsBpiIpMulticastMapControl      OBJECT-TYPE
SYNTAX                           RowStatus
MAX-ACCESS                       read-create
STATUS                           current
DESCRIPTION
  "This object controls and reflects the IP multicast address prefix
  mapping entry. There is no restriction on the ability to change values
  in this row while the row is active."
 ::= { docsBpiIpMulticastMapEntry 4 }

--
-- The CMTS Multicast SID Authorization Table, indexed by ifIndex by
-- multicast SID by CM MAC address
--

docsBpiMulticastAuthTable        OBJECT-TYPE
SYNTAX                           SEQUENCE OF DocsBpiMulticastAuthEntry
MAX-ACCESS                       not-accessible
STATUS                           current
DESCRIPTION
  "This table describes the multicast SID authorization for each
  CM on each CMTS MAC interface."
 ::= { docsBpiMulticastControl 2 }

docsBpiMulticastAuthEntry        OBJECT-TYPE
SYNTAX                           DocsBpiMulticastAuthEntry
MAX-ACCESS                       not-accessible
STATUS                           current
DESCRIPTION
  "Each entry contains objects describing the key authorization of one
  cable modem for one multicast SID for one CMTS MAC interface."
INDEX                            { ifIndex, docsBpiMulticastServiceId,
                                docsBpiMulticastCmMacAddress }
 ::= { docsBpiMulticastAuthTable 1 }

DocsBpiMulticastAuthEntry ::= SEQUENCE {
docsBpiMulticastServiceId        Integer32,
docsBpiMulticastCmMacAddress     MacAddress,
docsBpiMulticastAuthControl      RowStatus
}

docsBpiMulticastServiceId        OBJECT-TYPE
SYNTAX                           Integer32 (8192..16368)
MAX-ACCESS                       not-accessible
STATUS                           current
DESCRIPTION

```

"This object represents the multicast SID for authorization."  
::= { docsBpiMulticastAuthEntry 1 }

docsBpiMulticastCmMacAddress      OBJECT-TYPE  
SYNTAX                              MacAddress  
MAX-ACCESS                          not-accessible  
STATUS                               current  
DESCRIPTION

"This object represents the MAC address of the CM to which the  
multicast SID authorization applies."  
::= { docsBpiMulticastAuthEntry 2 }

docsBpiMulticastAuthControl        OBJECT-TYPE  
SYNTAX                              RowStatus  
MAX-ACCESS                          read-create  
STATUS                               current  
DESCRIPTION

"This object controls and reflects the CM authorization for each  
multicast SID. There is no restriction on the ability to change  
values in this row while the row is active."  
::= { docsBpiMulticastAuthEntry 3 }

--  
-- The BPI MIB Conformance Statements (with a placeholder for  
-- notifications)  
--

docsBpiNotification                OBJECT IDENTIFIER ::= { docsBpiMIB 2 }  
docsBpiConformance                 OBJECT IDENTIFIER ::= { docsBpiMIB 3 }  
docsBpiCompliances                 OBJECT IDENTIFIER ::= { docsBpiConformance 1 }  
docsBpiGroups                       OBJECT IDENTIFIER ::= { docsBpiConformance 2 }

docsBpiBasicCompliance MODULE-COMPLIANCE  
STATUS                               current  
DESCRIPTION

"This is the compliance statement for devices which implement the  
DOCSIS Baseline Privacy Interface."

MODULE -- docsBpiMIB

-- conditionally mandatory group

GROUP docsBpiCmGroup

DESCRIPTION

"This group is implemented only in CMs, not in CMTSSs."

-- conditionally mandatory group

GROUP docsBpiCmtsGroup

DESCRIPTION

"This group is implemented only in CMTSSs, not in CMs."

```
-- relaxation on mandatory range (unnecessary since object is read-only)
-- OBJECT      docsBpiCmAuthGraceTime
-- SYNTAX      Integer32 (300..1800)
-- DESCRIPTION
-- "The refined range corresponds to the minimum and maximum values in
-- operational networks, according to Appendix A.2 in [18]."
```

```
-- relaxation on mandatory range (unnecessary since object is read-only)
-- OBJECT      docsBpiCmTEKGraceTime
-- SYNTAX      Integer32 (300..1800)
-- DESCRIPTION
-- "The refined range corresponds to the minimum and maximum values in
-- operational networks, according to Appendix A.2 in [18]."
```

```
-- relaxation on mandatory range
OBJECT docsBpiCmtsDefaultAuthLifetime
SYNTAX Integer32 (86400..6048000)
DESCRIPTION
"The refined range corresponds to the minimum and maximum values in
operational networks, according to Appendix A.2 in [18]."
```

```
-- relaxation on mandatory range
OBJECT docsBpiCmtsDefaultTEKLifetime
SYNTAX Integer32 (1800..604800)
DESCRIPTION
"The refined range corresponds to the minimum and maximum values in
operational networks, according to Appendix A.2 in [18]."
```

```
-- relaxation on mandatory range (object removed from MIB)
-- OBJECT      docsBpiCmtsDefaultAuthGraceTime
-- SYNTAX      INTEGER (300..1800)
-- DESCRIPTION
-- "The refined range corresponds to the minimum and maximum values in
-- operational networks, according to Appendix A.2 in [18]."
```

```
-- relaxation on mandatory range (object removed from MIB)
-- OBJECT      docsBpiCmtsDefaultTEKGraceTime
-- SYNTAX      INTEGER (300..1800)
-- DESCRIPTION
-- "The refined range corresponds to the minimum and maximum values in
-- operational networks, according to Appendix A.2 in [18]."
```

```
-- relaxation on mandatory range
OBJECT docsBpiCmtsAuthCmLifetime
SYNTAX Integer32 (86400..6048000)
DESCRIPTION
```

"The refined range corresponds to the minimum and maximum values in operational networks, according to Appendix A.2 in [18]."

```
-- relaxation on mandatory range (unnecessary since object is read-only)
-- OBJECT      docsBpiCmtsAuthCmGraceTime
-- SYNTAX      Integer32 (300..1800)
-- DESCRIPTION
-- "The refined range corresponds to the minimum and maximum values in
-- operational networks, according to Appendix A.2 in [18]."
```

```
-- relaxation on mandatory range
OBJECT docsBpiCmtsTEKLifetime
SYNTAX Integer32 (1800..604800)
DESCRIPTION
"The refined range corresponds to the minimum and maximum values in
operational networks, according to Appendix A.2 in [18]."
```

```
-- relaxation on mandatory range (unnecessary since object is read-only)
-- OBJECT      docsBpiCmtsTEKGraceTime
-- SYNTAX      Integer32 (300..1800)
-- DESCRIPTION
-- "The refined range corresponds to the minimum and maximum values in
-- operational networks, according to Appendix A.2 in [18]."
```

```
::= { docsBpiCompliances 1 }
```

```
docsBpiCmGroup OBJECT-GROUP
OBJECTS {
docsBpiCmPrivacyEnable,
docsBpiCmPublicKey,
docsBpiCmAuthState,
docsBpiCmAuthKeySequenceNumber,
docsBpiCmAuthExpires,
docsBpiCmAuthReset,
docsBpiCmAuthGraceTime,
docsBpiCmTEKGraceTime,
docsBpiCmAuthWaitTimeout,
docsBpiCmReauthWaitTimeout,
docsBpiCmOpWaitTimeout,
docsBpiCmRekeyWaitTimeout,
docsBpiCmAuthRejectWaitTimeout,
docsBpiCmAuthRequests,
docsBpiCmAuthReplies,
docsBpiCmAuthRejects,
docsBpiCmAuthInvalids,
docsBpiCmAuthRejectErrorCode,
docsBpiCmAuthRejectErrorString,
docsBpiCmAuthInvalidErrorCode,
```

```
docsBpiCmAuthInvalidErrorString,
docsBpiCmTEKPrivacyEnable,
docsBpiCmTEKState,
docsBpiCmTEKExpiresOld,
docsBpiCmTEKExpiresNew,
docsBpiCmTEKKeyRequests,
docsBpiCmTEKKeyReplies,
docsBpiCmTEKKeyRejects,
docsBpiCmTEKInvalids,
docsBpiCmTEKAuthPends,
docsBpiCmTEKKeyRejectErrorCode,
docsBpiCmTEKKeyRejectErrorString,
docsBpiCmTEKInvalidErrorCode,
docsBpiCmTEKInvalidErrorString
}
STATUS                                current
DESCRIPTION
"This collection of objects provides CM BPI status and control."
 ::= { docsBpiGroups 1 }
```

```
docsBpiCmtsGroup                      OBJECT-GROUP
OBJECTS {
docsBpiCmtsDefaultAuthLifetime,
docsBpiCmtsDefaultTEKLifetime,
docsBpiCmtsAuthRequests,
docsBpiCmtsAuthReplies,
docsBpiCmtsAuthRejects,
docsBpiCmtsAuthInvalids,
docsBpiCmtsAuthCmPublicKey,
docsBpiCmtsAuthCmKeySequenceNumber,
docsBpiCmtsAuthCmExpires,
docsBpiCmtsAuthCmLifetime,
docsBpiCmtsAuthCmGraceTime,
docsBpiCmtsAuthCmReset,
docsBpiCmtsAuthCmRequests,
docsBpiCmtsAuthCmReplies,
docsBpiCmtsAuthCmRejects,
docsBpiCmtsAuthCmInvalids,
docsBpiCmtsAuthRejectErrorCode,
docsBpiCmtsAuthRejectErrorString,
docsBpiCmtsAuthInvalidErrorCode,
docsBpiCmtsAuthInvalidErrorString,
docsBpiCmtsTEKLifetime,
docsBpiCmtsTEKGraceTime,
docsBpiCmtsTEKExpiresOld,
docsBpiCmtsTEKExpiresNew,
docsBpiCmtsTEKReset,
docsBpiCmtsKeyRequests,
```

```
docsBpiCmtsKeyReplies,
docsBpiCmtsKeyRejects,
docsBpiCmtsTEKInvalids,
docsBpiCmtsKeyRejectErrorCode,
docsBpiCmtsKeyRejectErrorString,
docsBpiCmtsTEKInvalidErrorCode,
docsBpiCmtsTEKInvalidErrorString,
docsBpiIpMulticastServiceId,
docsBpiIpMulticastMapControl,
docsBpiMulticastAuthControl
}
STATUS          current
DESCRIPTION
"This collection of objects provides CMTS BPI status and control."
::= { docsBpiGroups 2 }

docsBpiObsoleteObjectsGroup      OBJECT-GROUP
OBJECTS {
docsBpiCmtsDefaultAuthGraceTime,
docsBpiCmtsDefaultTEKGraceTime
}
STATUS          obsolete
DESCRIPTION
"This is a collection of obsolete BPI objects."
::= { docsBpiGroups 3 }

END
```

## 5. Acknowledgments

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## 7. Security Considerations

The Baseline Privacy Interface provides data encryption for DOCSIS data-over-cable services. Baseline Privacy-capable cable modems have RSA private/public key pairs installed by manufacturers. The public key is used to encrypt an Authorization key, and the Authorization key is used to encrypt one or more Traffic Encryption Keys (TEKs). The TEKs are used to encrypt both upstream and downstream data traffic. Please refer to [18] to obtain further information on the Baseline Privacy specification.

In particular, the Baseline Privacy Interface does not provide an authentication service. CMTS implementors are encouraged not to rely on the MAC address of the CM for service authorization -- in particular, for the docsBpiMulticastAuthTable in this MIB. The Baseline Privacy Plus Interface does provide a CM authentication service, and the working group expects to issue a MIB for the management of BPI+ at a later time.

This MIB specification contains a number of read-write objects, that should be protected from unauthorized modification to prevent denial of service and theft of service attacks: in particular, objects that reset state machines (ex. docsBpiCmAuthReset), change key lifetimes (ex. docsBpiCmtsDefaultAuthLifetime), change rekeying grace times (ex. docsBpiCmtsDefaultAuthGraceTime), and control multicast traffic (ex. most objects in the docsBpiMulticastControl group).

The desired means to protect these objects from unwarranted access is to implement the security features as provided by the SNMPv3 framework. Specifically, the use of the User-based Security Model [12] and the View-based Access Control Model [15] is recommended.

Weaker methods to protect CMS from unauthorized access include using the docsDevNmAccessTable from the Cable Device MIB [17] to disallow configuration changes from unauthorized network management stations, and using the SNMP MIB Object and SNMP Write-Access Control configuration file options from the Radio Frequency Interface [19] to set MIB object values and disable SNMP SET operations at cable modem boot time. Note that these mechanisms may be vulnerable to an unauthorized network management station "spoofing" the source address of a legitimate network management station.

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